

## CALICHEAMICIN DERIVATIVE-CARRIER CONJUGATES

## CROSS REFERENCE TO RELATED APPLICATIONS

5           This application is a Continuation-in-Part of U.S application Serial Number 10/428,894, filed May 2, 2003, under 35 U.S.C. 120, which claims priority from U.S. provisional application Serial Number 60/377,440, filed May 2, 2002, under 35 U.S.C. 119(e), the entire disclosures of which are hereby incorporated by reference.

## 10   FIELD OF THE INVENTION

          The present invention relates to methods for the production of monomeric cytotoxic drug/carrier conjugates (the "conjugates") with higher drug loading and substantially reduced low conjugate fraction (LCF). Particularly, the invention relates  
15   to anti-CD22 antibody-monomeric calicheamicin conjugates. The invention also relates to the conjugates of the invention, to methods of purification of the conjugates, to pharmaceutical compositions comprising the conjugates, and to uses of the conjugates.

## 20   BACKGROUND OF THE INVENTION

          Drug conjugates developed for systemic pharmacotherapy are target-specific cytotoxic agents. The concept involves coupling a therapeutic agent to a carrier molecule with specificity for a defined target cell population. Antibodies with high  
25   affinity for antigens are a natural choice as targeting moieties. With the availability of high affinity monoclonal antibodies, the prospects of antibody-targeting therapeutics have become promising. Toxic substances that have been conjugated to monoclonal antibodies include toxins, low-molecular-weight cytotoxic drugs, biological response modifiers, and radionuclides. Antibody-toxin conjugates are frequently termed  
30   immunotoxins, whereas immunoconjugates consisting of antibodies and low-molecular-weight drugs such as methothrexate and Adriamycin are called chemoimmunoconjugates. Immunomodulators contain biological response modifiers that are known to have regulatory functions such as lymphokines, growth factors, and

complement-activating cobra venom factor (CVF). Radioimmunoconjugates consist of radioactive isotopes, which may be used as therapeutics to kill cells by their radiation or used for imaging. Antibody-mediated specific delivery of cytotoxic drugs to tumor cells is expected to not only augment their anti-tumor efficacy, but also  
5 prevent nontargeted uptake by normal tissues, thus increasing their therapeutic indices

The present invention relates to immunoconjugates comprising an antibody as a targeting vehicle and having specificity for antigenic determinants on the surface of malignant cells conjugated to a cytotoxic drug. The invention relates to cytotoxic  
10 drug-antibody conjugates, wherein the antibody has specificity for antigenic determinants on B-malignancies, lymphoproliferative disorders and chronic inflammatory diseases. The present invention also relates to methods for producing immunoconjugates and to their therapeutic use(s).

A number of antibody-based therapeutics for treating a variety of diseases  
15 including cancer and rheumatoid arthritis have been approved for clinical use or are in clinical trials for a variety of malignancies including B-cell malignancies such as Non-Hodgkin's lymphoma. One such antibody-based therapeutic is rituximab (Rituxan™), an unlabelled chimeric human  $\gamma 1$  (+my1V-region) antibody, which is specific for cell surface antigen CD20, which is expressed on B-cells. These  
20 antibody based therapeutics rely either on complement-mediated cytotoxicity (CDCC) or antibody-dependent cellular cytotoxicity (ADCC) against B cells, or on the use of radionuclides, such as  $^{131}\text{I}$  or  $^{90}\text{Y}$ , which have associated preparation and use problems for clinicians and patients. Consequently, there is a need for the generation of immunoconjugates which can overcome the shortcomings of current  
25 antibody-based therapeutics to treat a variety of malignancies including hematopoietic malignancies like non-Hodgkin's lymphoma (NHL), which can be produced easily and efficiently, and which can be used repeatedly without inducing an immune response.

Immunoconjugates comprising a member of the potent family of antibacterial  
30 and antitumor agents, known collectively as the calicheamicins or the LL-E33288 complex, (see U.S. Patent No. 4,970,198 (1990)), were developed for use in the treatment of myelomas. The most potent of the calicheamicins is designated  $\gamma_1$ , which is herein referenced simply as gamma. These compounds contain a methyltrisulfide

that can be reacted with appropriate thiols to form disulfides, at the same time introducing a functional group such as a hydrazide or other functional group that is useful in attaching a calicheamicin derivative to a carrier. (See U.S. Patent No. 5,053,394). The use of the monomeric calicheamicin derivative/carrier conjugates in developing therapies for a wide variety of cancers has been limited both by the availability of specific targeting agents (carriers) as well as the conjugation methodologies which result in the formation of protein aggregates when the amount of the calicheamicin derivative that is conjugated to the carrier (*i.e.*, the drug loading) is increased. Since higher drug loading increases the inherent potency of the conjugate, it is desirable to have as much drug loaded on the carrier as is consistent with retaining the affinity of the carrier protein. The presence of aggregated protein, which may be nonspecifically toxic and immunogenic, and therefore must be removed for therapeutic applications, makes the scale-up process for the production of these conjugates more difficult and decreases the yield of the products. The amount of calicheamicin loaded on the carrier protein (the drug loading), the amount of aggregate that is formed in the conjugation reaction, and the yield of final purified monomeric conjugate that can be obtained are all related. A compromise must therefore be made between higher drug loading and the yield of the final monomer by adjusting the amount of the reactive calicheamicin derivative that is added to the conjugation reaction.

The tendency for cytotoxic drug conjugates, especially calicheamicin conjugates to aggregate is especially problematic when the conjugation reactions are performed with the linkers described in U.S. Patent No. 5,877,296 and U.S. Patent No. 5,773,001, which are incorporated herein in their entirety. In this case, a large percentage of the conjugates produced are in an aggregated form, and it is quite difficult to purify conjugates made by these original processes (CMA process) for therapeutic use. For some carrier proteins, conjugates with even modest loadings are virtually impossible to make except on a small scale. Consequently, there is a critical need to improve methods for conjugating cytotoxic drugs, such as the calicheamicins, to carriers that minimize the amount of aggregation and thereby allow for as high a drug loading as possible with a reasonable yield of product.

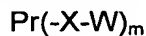
Previously, conjugation methods for preparing monomeric calicheamicin derivative/carrier with higher drug loading/yield and decreased aggregation were disclosed (see U.S. Patent No. 5,712,374 and U.S. Patent No. 5,714,586, incorporated

herein in their entirety). Although these processes resulted in conjugate preparations with substantially reduced aggregate content, it was discovered later that it produced conjugates containing undesirably high levels (45-65% HPLC Area %) of a low conjugated fraction (LCF), a fraction consisting mostly of unconjugated antibody. The presence of the LCF in the product is an inefficient use of the antibody, as it does not contain the cytotoxic drug. It may also compete with the calicheamicin-carrier conjugate for the target and potentially reduce the targetability of the latter resulting in reduced efficacy of the cytotoxic drug. Therefore, an improved conjugation process that would result in significantly lower levels of the LCF and have acceptable levels of aggregation, without significantly altering the physical properties of the conjugate, is desirable.

#### SUMMARY OF THE INVENTION

The present invention relates to methods for the production of monomeric cytotoxic drug derivative/carrier conjugates (the "conjugates") with higher loading and substantially reduced low conjugate fraction (LCF). Particularly, the invention relates to the production of monomeric calicheamicin derivative-carrier conjugates, to the conjugates, to compositions, to a method of purification of the conjugates, and to use of the conjugates. More particularly, the invention relates to methods for producing a monomeric calicheamicin derivative-anti-CD22 antibody conjugate (CMC-544).

In one embodiment, the present invention discloses an improved conjugation process for the production of the conjugates that resulted in significantly lower levels of the LCF (below 10 percent) without any significant alteration of the physical or chemical properties. The invention also discloses a further improvement to the conjugation process which results in not only a significant reduction in the levels of the LCF, but also results in a significant reduction in aggregation from previously disclosed processes, and produces substantially increased drug loading. The conjugates of the present invention have the formula:



wherein:

Pr is a proteinaceous carrier,

X is a linker that comprises a product of any reactive group that can react with a proteinaceous carrier,

W is a cytotoxic drug;

m is the average loading for a purified conjugation product such that the cytotoxic drug constitutes 7 - 9% of the conjugate by weight; and

(-X-W)<sub>m</sub> is a cytotoxic drug derivative.

5        The conjugates of the present invention, in one embodiment, are generated by the method of the invention comprising the steps of: (1) adding the cytotoxic drug derivative to the proteinaceous carrier wherein the cytotoxic drug derivative is 4.5 - 11% by weight of the proteinaceous carrier; (2) incubating the cytotoxic drug derivative and a proteinaceous carrier in a non-nucleophilic, protein-compatible, buffered solution having a pH in a range from about 7 to 9 to produce a monomeric cytotoxic drug/carrier conjugate, wherein the solution further comprises (a) an organic cosolvent, and (b) an additive comprising at least one C<sub>6</sub>-C<sub>18</sub> carboxylic acid or its salt, and wherein the incubation is conducted at a temperature ranging from about 30°C to about 35°C for a period of time ranging from about 15 minutes to 24 hours; and (3) subjecting the conjugate produced in step (2) to a chromatographic separation process to separate the monomeric cytotoxic drug derivative/proteinaceous carrier conjugates with a loading in the range of 4 - 10 % by weight of cytotoxic drug and with low conjugated fraction (LCF) below 10 percent from unconjugated proteinaceous carrier, cytotoxic drug derivative, and aggregated conjugates.

20        In one aspect of the invention, the proteinaceous carrier of the conjugate is selected from a group consisting of hormones, growth factors, antibodies, antibody fragments, antibody mimics, and their genetically or enzymatically engineered counterparts.

25        In one embodiment, the proteinaceous carrier is an antibody. In a preferred embodiment, the antibody is selected from a group consisting of a monoclonal antibody, a chimeric antibody, a human antibody, a humanized antibody, a single chain antibody, a Fab fragment and a F(ab)<sub>2</sub> fragment.

30        In another embodiment, the humanized antibody is directed against the cell surface antigen CD22.

      In a preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody, and comprises a light chain variable region 5/44-gL1 (SEQ ID NO:19), and a heavy chain variable region 5/44-gH7 (SEQ ID NO:27).

In another preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody comprising a light chain having a sequence set forth in SEQ ID NO: 28.

5 In yet another preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody comprising a heavy chain having a sequence set forth in SEQ ID NO:30.

In another preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody comprising a light chain having a sequence set forth in SEQ ID NO: 28 and a heavy chain having a sequence set forth in SEQ ID NO: 30.

10 In another embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody that is a variant antibody obtained by an affinity maturation protocol and has increased specificity for human CD22.

In another aspect, the cytotoxic drug used to generate the monomeric cytotoxic drug/carrier conjugate of the present invention is either an inhibitor of tubulin polymerization, an alkylating agent that binds to and disrupts DNA, an inhibitor  
15 protein synthesis, or an inhibitor of tyrosine kinases.

In one embodiment, the cytotoxic drug is selected from calicheamicins, thiotepa, taxanes, vincristine, daunorubicin, doxorubicin, epirubicin, esperamicins, actinomycin, aurothiomyosin, azaserines, bleomycins, tamoxifen, idarubicin,  
20 dolastatins/auristatins, hemisasterlins, and maytansinoids.

In a preferred embodiment, the cytotoxic drug is calicheamicin. In a particularly preferred embodiment, the calicheamicin is gamma calicheamicin or N-acetyl gamma calicheamicin derivative.

In yet another aspect, the cytotoxic drug is functionalized with 3-mercapto-3-methyl butanoyl hydrazide and conjugated to a proteinaceous carrier via a  
25 hydrolyzable linker that is capable of releasing the cytotoxic drug from the conjugate after binding and entry into target cells.

In a preferred embodiment of this aspect, the hydrolyzable linker is 4-(4-acetylphenoxy) butanoic acid (AcBut).

30 In yet another aspect of the invention, octanoic acid or its salt, or decanoic acid or its salt is used as an additive during the conjugation process to decrease aggregation and increase drug loading.

In yet another aspect of the invention, the conjugates of the invention are purified by a chromatographic separation process.

In one embodiment, the chromatographic separation process used to separate the monomeric drug derivative-carrier conjugate is size exclusion chromatography (SEC).  
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In another embodiment, the chromatographic separation process used to separate the monomeric drug derivative-carrier conjugate is HPLC, FPLC or Sephacryl S-200 chromatography.

In a preferred embodiment, the chromatographic separation process used to separate the monomeric drug derivative-carrier conjugate is hydrophobic interaction chromatography (HIC). In a particularly preferred embodiment, HIC is carried out using Phenyl Sepharose 6 Fast Flow chromatographic medium, Butyl Sepharose 4 Fast Flow chromatographic medium, Octyl Sepharose 4 Fast Flow chromatographic medium, Toyopearl Ether-650M chromatographic medium, Macro-Prep methyl HIC medium or Macro-Prep t-Butyl HIC medium. In a more particularly preferred embodiment, HIC is carried out using Butyl Sepharose 4 Fast Flow chromatographic medium.  
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In another aspect, the invention is directed to a monomeric cytotoxic drug derivative/carrier conjugate produced by the method of the invention. In a preferred embodiment of this aspect, the cytotoxic drug used is calicheamicin and the carrier used is an antibody.  
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In another preferred embodiment, the antibody is selected from a group consisting of a monoclonal antibody, a chimeric antibody, a human antibody, a humanized antibody, a single chain antibody, a Fab fragment and a F(ab)<sub>2</sub> fragment. In a more particularly preferred aspect, a humanized antibody directed against the cell surface antigen CD22 is used.  
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In one embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody, and comprises a light chain variable region 5/44-gL1 (SEQ ID NO:19), and a heavy chain variable region 5/44-gH7 (SEQ ID NO:27):

In another embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody comprising a light chain having a sequence set forth in SEQ ID NO: 28.  
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In a preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody comprising a heavy chain having a sequence set forth in SEQ ID NO: 30.

5 In another preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody comprising a light chain having a sequence set forth in SEQ ID NO: 28 and a heavy chain having a sequence set forth in SEQ ID NO: 30.

In still another embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody that is a variant antibody obtained by an affinity maturation protocol which has increased specificity for human CD22.

10 In a preferred embodiment, the calicheamicin is gamma calicheamicin or N-acetyl gamma calicheamicin.

In one embodiment, the calicheamicin derivative is functionalized with 3-mercapto-3-methyl butanoyl hydrazide.

15 In another embodiment, the linker used to conjugate the drug to the carrier is a hydrolyzable linker that is capable of releasing the cytotoxic drug from the conjugate after binding and entry into target cells. In a preferred embodiment, the hydrolyzable linker is 4-(4-acetylphenoxy) butanoic acid (AcBut).

Another aspect of the invention is directed to a monomeric calicheamicin derivative/anti-CD22 antibody conjugate having the formula,  $\text{Pr}(-\text{X}-\text{S}-\text{S}-\text{W})_m$ ,  
20 wherein: Pr is an anti-CD22 antibody; X is a hydrolyzable linker that comprises a product of any reactive group that can react with an antibody; W is a calicheamicin radical; m is the average loading for a purified conjugation product such that the calicheamicin constitutes 4 - 10% of the conjugate by weight; and  $(-\text{X}-\text{S}-\text{S}-\text{W})_m$  is a calicheamicin derivative generated by the process of the invention.

25 In one embodiment of this aspect, the antibody is selected from a group consisting of a monoclonal antibody, a chimeric antibody, a human antibody, a humanized antibody, a single chain antibody, a Fab fragment and a F(ab)2 fragment.

30 In a preferred embodiment, the antibody is an anti-CD22 antibody that has specificity for human CD22, and comprises a heavy chain wherein the variable domain comprises a CDR having at least one of the sequences given as H1 in Figure 1 (SEQ ID NO:1) for CDR-H1, as H2 in Figure 1 (SEQ ID NO:2) or H2' (SEQ ID NO:13) or H2'' (SEQ ID NO:15) or H2''' (SEQ ID NO:16) for CDR-H2, or as H3 in Figure 1 (SEQ ID NO:3) for CDR-H3, and comprises a light chain wherein the



variable domain comprises a CDR having at least one of the sequences given as L1 in Figure 1 (SEQ ID NO:4) for CDR-L1, as L2 in Figure 1 (SEQ ID NO:5) for CDR-L2, or as L3 in Figure 1 (SEQ ID NO:6) for CDR-L3.

5 In another preferred embodiment, the anti-CD22 antibody comprises a heavy chain wherein the variable domain comprises a CDR having at least one of the sequences given in SEQ ID NO:1 for CDR-H1, SEQ ID NO:2 or SEQ ID NO:13 or SEQ ID NO:15 or SEQ ID NO:16 for CDR-H2, or SEQ ID NO:3 for CDR-H3, and a light chain wherein the variable domain comprises a CDR having at least one of the sequences given in SEQ ID NO:4 for CDR-L1, SEQ ID NO:5 for CDR-L2, or SEQ ID  
10 NO:6 for CDR-L3.

In yet another preferred embodiment, the anti-CD22 antibody comprises SEQ ID NO:1 for CDR-H1, SEQ ID NO: 2 or SEQ ID NO:13 or SEQ ID NO:15 or SEQ ID NO:16 for CDR-H2, SEQ ID NO:3 for CDR-H3, SEQ ID NO:4 for CDR-L1, SEQ ID NO:5 for CDR-L2, and SEQ ID NO:6 for CDR-L3.

15 In another embodiment, the humanized anti-CD22 antibody is a CDR-grafted anti-CD22 antibody and comprises a variable domain comprising human acceptor framework regions and non-human donor CDRs.

In another embodiment, the humanized anti-CD22 antibody has a human acceptor framework wherein regions of the variable domain of the heavy chain of the  
20 antibody are based on a human sub-group I consensus sequence and comprise non-human donor residues at positions 1, 28, 48, 71 and 93. In another embodiment, the humanized antibody further comprises non-human donor residues at positions 67 and 69.

In one preferred embodiment, the CDR-grafted humanized antibody  
25 comprises a variable domain of the light chain comprising a human acceptor framework region based on a human sub-group I consensus sequence and further comprising non-human donor residues at positions 2, 4, 37, 38, 45 and 60. In another embodiment, the CDR-grafted antibody further comprises a non-human donor residue at position 3.

30 In yet another embodiment, the CDR-grafted antibody comprises a light chain variable region 5/44-gL1 (SEQ ID NO:19) and a heavy chain variable region 5/44-gH7 (SEQ ID NO:27).

In another embodiment, the CDR-grafted antibody comprises a light chain having the sequence as set forth in SEQ ID NO: 28 and a heavy chain having the sequence as set forth in SEQ ID NO:30.

5 In yet another embodiment, the CDR-grafted antibody comprises a light chain having the sequence as set forth in SEQ ID NO: 28 and a heavy chain having the sequence as set forth in SEQ ID NO: 30.

In one embodiment, the anti-CD22 CDR-grafted antibody is a variant antibody obtained by an affinity maturation protocol and has increased specificity for human CD22.

10 In another embodiment, the anti-CD22 antibody is a chimeric antibody comprising the sequences of the light and heavy chain variable domains of the monoclonal antibody set forth in SEQ ID NO:7 and SEQ ID NO:8, respectively.

In yet another embodiment, the anti-CD22 antibody comprises a hybrid CDR with a truncated donor CDR sequence wherein the missing portion of the donor CDR  
15 is replaced by a different sequence and forms a functional CDR.

In a particularly preferred embodiment, the cytotoxic drug derivative is either a gamma calicheamicin or a N-acetyl gamma calicheamicin derivative..

In another aspect, the invention is directed to a method for the preparation of a stable lyophilized composition of a monomeric cytotoxic drug derivative/carrier  
20 conjugate. In a preferred embodiment, the stable lyophilized composition of the monomeric cytotoxic drug derivative/carrier conjugate is prepared by (a) dissolving the monomeric cytotoxic drug derivative/carrier conjugate to a final concentration of 0.5-to 2 mg/ml in a solution comprising a cryoprotectant at a concentration of 1.5%-5% by weight, a polymeric bulking agent at a concentration of 0.5-1.5% by weight,  
25 electrolytes at a concentration of 0.01M to 0.1M, a solubility facilitating agent at a concentration of 0.005-0.05% by weight, buffering agent at a concentration of 5-50 mM such that the final pH of the solution is 7.8-8.2, and water; (b) dispensing the above solution into vials at a temperature of +5°C to +10°C; (c) freezing the solution at a freezing temperature of -35°C to -50°C; (d) subjecting the frozen solution to an  
30 initial freeze drying step at a primary drying pressure of 20 to 80 microns at a shelf temperature at -10°C to -40°C for 24 to 78 hours; and (e) subjecting the freeze-dried product of step (d) to a secondary drying step at a drying pressure of 20 to 80 microns at a shelf temperature of +10°C to + 35°C for 15 to 30 hours.

In one embodiment, the cryoprotectant used in the lyophilization of the cytotoxic drug/carrier conjugate is selected from alditol, mannitol, sorbitol, inositol, polyethylene glycol, aldonic acid, uronic acid, aldaric acid, aldoses, ketoses, amino sugars, alditols, inositols, glyceraldehydes, arabinose, lyxose, pentose, ribose, xylose, galactose, glucose, hexose, idose, mannose, talose, heptose, glucose, fructose, gluconic acid, sorbitol, lactose, mannitol, methyl  $\alpha$ -glucopyranoside, maltose, isoascorbic acid, ascorbic acid, lactone, sorbose, glucaric acid, erythrose, threose, arabinose, allose, altrose, gulose, idose, talose, erythrulose, ribulose, xylulose, psicose, tagatose, glucuronic acid, gluconic acid, glucaric acid, galacturonic acid, mannuronic acid, glucosamine, galactosamine, sucrose, trehalose, neuraminic acid, arabinans, fructans, fucans, galactans, galacturonans, glucans, mannans, xylans, levan, fucoidan, carrageenan, galactocarolose, pectins, pectic acids, amylose, pullulan, glycogen, amylopectin, cellulose, dextran, pustulan, chitin, agarose, keratin, chondroitin, dermatan, hyaluronic acid, alginic acid, xanthan gum, starch, sucrose, glucose, lactose, trehalose, ethylene glycol, polyethylene glycol, polypropylene glycol, glycerol, and pentaerythritol.

In a preferred embodiment, the cryoprotectant is sucrose, which is present at a concentration of 1.5% by weight.

In one embodiment, the polymeric bulking agent used during the lyophilization process is selected from Dextran 40 or hydroxyethyl starch 40, and is at a concentration of 0.9% by weight.

In another embodiment, the electrolyte used in the lyophilization solution is sodium chloride, which is present at a concentration of 0.05 M.

In a preferred embodiment, a solubility-facilitating agent is used during the lyophilization process. Preferably, this solubility-facilitating agent is a surfactant. In a particularly preferred embodiment, the surfactant is polysorbate 80, which is present at a concentration of 0.01% by weight.

In one embodiment, the buffering agent used is tromethamine, which is present at a concentration of 0.02 M. It is preferable for the pH of the solution to be 8.0 at the start of the lyophilization process. The solution containing the cytotoxic drug/carrier conjugate is dispensed into vials at a temperature of +5°C prior to the start of the process.

In a preferred embodiment, the solution in the vials is frozen at a temperature of  $-45^{\circ}\text{C}$ ; the frozen solution is subjected to an initial freeze drying step at a primary drying pressure of 60 microns and at a shelf temperature of  $-30^{\circ}\text{C}$  for 60 hours; and the freeze-dried product is subjected to a secondary drying step at a drying pressure of 60 microns at a shelf temperature of  $+25^{\circ}\text{C}$  for 24 hours.

Another aspect of the invention is directed to a composition comprising a therapeutically effective dose of a monomeric cytotoxic drug derivative/carrier conjugate prepared by a method of the invention.

In one embodiment, the carrier in the monomeric cytotoxic drug derivative/carrier conjugate is a proteinaceous carrier selected from hormones, growth factors, antibodies and antibody mimics.

In a preferred embodiment, the proteinaceous carrier is a human monoclonal antibody, a chimeric antibody, a human antibody or a humanized antibody.

In a preferred embodiment, the humanized antibody is directed against the cell surface antigen CD22.

In a particularly preferred embodiment of this aspect of the invention, the anti-CD22 antibody has specificity for human CD22, and comprises a heavy chain wherein the variable domain comprises a CDR having at least one of the sequences given as H1 in Figure 1 (SEQ ID NO:1) for CDR-H1, as H2 in Figure 1 (SEQ ID NO:2) or H2' (SEQ ID NO:13) or H2'' (SEQ ID NO:15) or H2''' (SEQ ID NO:16) for CDR-H2, or as H3 in Figure 1 (SEQ ID NO:3) for CDR-H3, and comprises a light chain wherein the variable domain comprises a CDR having at least one of the sequences given as L1 in Figure 1 (SEQ ID NO:4) for CDR-L1, as L2 in Figure 1 (SEQ ID NO:5) for CDR-L2, or as L3 in Figure 1 (SEQ ID NO:6) for CDR-L3.

In another preferred embodiment, anti-CD22 antibody has a heavy chain wherein the variable domain comprises a CDR having at least one of the sequences given in SEQ ID NO:1 for CDR-H1, SEQ ID NO:2 or SEQ ID NO:13 or SEQ ID NO:15 or SEQ ID NO:16 for CDR-H2, or SEQ ID NO:3 for CDR-H3, and a light chain wherein the variable domain comprises a CDR having at least one of the sequences given in SEQ ID NO:4 for CDR-L1, SEQ ID NO:5 for CDR-L2, or SEQ ID NO:6 for CDR-L3.

In yet another preferred embodiment, the anti-CD22 antibody comprises SEQ ID NO:1 for CDR-H1, SEQ ID NO: 2 or SEQ ID NO:13 or SEQ ID NO:15 or SEQ ID

NO:16 for CDR-H2, SEQ ID NO:3 for CDR-H3, SEQ ID NO:4 for CDR-L1, SEQ ID NO:5 for CDR-L2, and SEQ ID NO:6 for CDR-L3.

In a particularly preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted humanized anti-CD22 antibody and comprises a light chain variable  
5 region 5/44-gL1 (SEQ ID NO:19), and a heavy chain variable region 5/44-gH7 (SEQ ID NO:27).

In another particularly preferred embodiment, the humanized anti-CD22 antibody is a CDR-grafted antibody having specificity for human CD22 and comprises a light chain having a sequence set forth in SEQ ID NO: 28 and a heavy  
10 chain having a sequence set forth in SEQ ID NO:30.

In one embodiment, the CDR-grafted antibody is a variant antibody which has increased specificity for human CD22, and the antibody is obtained by an affinity maturation protocol.

In one embodiment, the monomeric cytotoxic drug is calicheamicin and is  
15 preferably selected from gamma calicheamicin or N-acetyl calicheamicin.

In one embodiment, the composition may optionally contain an additional bioactive agent. Such a bioactive agent may be a cytotoxic drug, a growth factor or a hormone.

Yet another aspect of the invention is directed to a method of treating a  
20 subject with a proliferative disorder by administering to the subject a therapeutically effective dose of the composition of the invention. The composition may be administered subcutaneously, intraperitoneally, intravenously, intraarterially, intramedullary, intrathecally, transdermally, transcutaneously, intranasally, topically, enterally, intravaginally, sublingually or rectally. In a preferred embodiment, the  
25 composition of the invention is administered intravenously.

In one embodiment, the composition is administered to a human subject suffering from a proliferative disorder such as cancer. In a preferred embodiment, the cancer is a B-cell malignancy. The B-cell malignancy may be a leukemia or lymphoma that express cell surface antigen CD22.

30 In yet another embodiment, the cancer is a carcinoma or a sarcoma.

Another aspect of the present invention is directed to a method of treating a B-cell malignancy by administering to a patient with such malignancy a therapeutically effective composition comprising a cytotoxic drug-anti-CD22-antibody

conjugate of the invention. In a preferred embodiment, the B-cell malignancy is a lymphoma, particularly Non-Hodgkin's lymphoma.

In one embodiment, the cytotoxic drug used to prepare the conjugates of the present invention is selected from the group consisting of calicheamicins, thiotepa,  
 5 taxanes, vincristine, daunorubicin, doxorubicin, epirubicin, actinomycin, anthramycin, azaserines, bleomycins, tamoxifen, idarubicin, dolastatins/auristatins, hemiasterlins, maytansinoids, and esperamicins.

In a preferred embodiment, the cytotoxic drug is gamma calicheamicin or N-acetyl calicheamicin.

10 In another embodiment, the treatment comprises administering the cytotoxic drug conjugate of the invention with one or more bioactive agents selected from antibodies, growth factors, hormones, cytokines, anti-hormones, xanthines, interleukins, interferons, and cytotoxic drugs.

In a preferred embodiment, the bioactive agent is an antibody, and is directed  
 15 against a cell surface antigen expressed on B-cell malignancies. In a further preferred embodiment, the antibody directed against cell surface antigens expressed on B-cell malignancies is selected from a group consisting of anti-CD19, anti-CD20 and anti-CD33 antibodies. Such antibodies include the anti-CD20 antibody, rituximab (Rituxan™).

20 In another embodiment, the bioactive agents are cytokines or growth factors and include, but are not limited to, interleukin 2 (IL-2), TNF, CSF, GM-CSF and G-CSF.

In another embodiment, bioactive agents are hormones and include estrogens, androgens, progestins, and corticosteroids.

25 In yet another embodiment, the bioactive agent is a cytotoxic drug selected from doxorubicin, daunorubicin, idarubicin, aclarubicin, zorubicin, mitoxantrone, epirubicin, carubicin, nogalamycin, menogaril, pitarubicin, valrubicin, cytarabine, gemcitabine, trifluridine, ancitabine, enocitabine, azacitidine, doxifluridine, pentostatin, broxuridine, capecitabine, cladribine, decitabine, floxuridine, fludarabine,  
 30 gougerotin, puromycin, tegafur, tiazofurin, adriamycin, cisplatin, carboplatin, cyclophosphamide, dacarbazine, vinblastine, vincristine, mitoxantrone, bleomycin, mechlorethamine, prednisone, procarbazine methotrexate, flurouracils, etoposide, taxol, taxol analogs, and mitomycin.

In a preferred embodiment, the therapeutically effective composition of the cytotoxic drug-anti-CD22-antibody conjugate is administered together with one or more combinations of cytotoxic agents as a part of a treatment regimen, wherein the combination of cytotoxic agents is selected from: CHOPP (cyclophosphamide, doxorubicin, vincristine, prednisone, and procarbazine); CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone); COP (cyclophosphamide, vincristine, and prednisone); CAP-BOP (cyclophosphamide, doxorubicin, procarbazine, bleomycin, vincristine, and prednisone); m-BACOD (methotrexate, bleomycin, doxorubicin, cyclophosphamide, vincristine, dexamethasone, and leucovorin); ProMACE-MOPP (prednisone, methotrexate, doxorubicin, cyclophosphamide, etoposide, leucovorin, mechloethamine, vincristine, prednisone, and procarbazine); ProMACE-CytaBOM (prednisone, methotrexate, doxorubicin, cyclophosphamide, etoposide, leucovorin, cytarabine, bleomycin, and vincristine); MACOP-B (methotrexate, doxorubicin, cyclophosphamide, vincristine, prednisone, bleomycin, and leucovorin); MOPP (mechloethamine, vincristine, prednisone, and procarbazine); ABVD (adriamycin/doxorubicin, bleomycin, vinblastine, and dacarbazine); MOPP (mechloethamine, vincristine, prednisone, and procarbazine) alternating with ABV (adriamycin/doxorubicin, bleomycin, and vinblastine); MOPP (mechloethamine, vincristine, prednisone, and procarbazine) alternating with ABVD (adriamycin/doxorubicin, bleomycin, vinblastine, and dacarbazine); ChlVPP (chlorambucil, vinblastine, procarbazine, and prednisone); IMVP-16 (ifosfamide, methotrexate, and etoposide); MIME (methyl-gag, ifosfamide, methotrexate, and etoposide); DHAP (dexamethasone, high-dose cytarabine, and cisplatin); ESHAP (etoposide, methylprednisolone, high-dose cytarabine, and cisplatin); CEPP(B) (cyclophosphamide, etoposide, procarbazine, prednisone, and bleomycin); CAMP (lomustine, mitoxantrone, cytarabine, and prednisone); and CVP-1 (cyclophosphamide, vincristine, and prednisone).

In a preferred embodiment, the therapeutically effective composition of the cytotoxic drug-anti-CD22-antibody conjugate is administered prior to the administration of one or more of the above combinations of cytotoxic drugs. In another preferred embodiment, the therapeutically effective composition of the cytotoxic drug-anti-CD22-antibody conjugate is administered subsequent to the

administration of one or more of the above combinations of cytotoxic drugs as a part of a treatment regimen.

Another aspect of the invention is directed to a method of treating aggressive lymphomas comprising administering to a patient in need of said treatment a therapeutically effective composition of a monomeric calicheamicin derivative-anti-CD22-antibody conjugate together with one or more bioactive agents.

Yet another aspect of the present invention is directed to the use of the composition of the invention in treating a subject with a proliferative disorder such as cancer. In particular, the cancer is a B-cell malignancy that expresses CD22 antigen on the cell surface. In particular, the B-cell malignancy is either a leukemia or a lymphoma. In one embodiment, the cancer is a carcinoma or a leukemia.

In one embodiment, a therapeutically effective dose of the composition is administered subcutaneously, intraperitoneally, intravenously, intraarterially, intramedullary, intrathecally, transdermally, transcutaneously, intranasally, topically, enterally, intravaginally, sublingually or rectally.

In a preferred embodiment, the therapeutically effective dose of the pharmaceutical composition of the invention is administered intravenously.

Another aspect of the invention is directed to the use of a monomeric calicheamicin derivative/anti-CD22 antibody conjugate of the present invention for use in the treatment of a subject with a B-cell malignancy such as Non-Hodgkin's lymphoma. In one embodiment, the monomeric calicheamicin derivative/anti-CD22 antibody conjugate of the present invention is administered with one or more bioactive agents.

In one embodiment, the bioactive agents are selected from a group consisting of antibodies, growth factors, hormones, cytokines, anti-hormones, xanthines, interleukins, interferons, and cytotoxic drugs.

In a preferred embodiment, the bioactive agent is an antibody directed against a cell surface antigen expressed on B-cell malignancies, such as anti-CD19, anti-CD20 and anti-CD33 antibodies. In a preferred embodiment, the anti-CD20 antibody is rituximab (Rituxan™).

In another embodiment, the bioactive agents include cytokines or growth factors such as interleukin 2 (IL-2), TNF, CSF, GM-CSF and G-CSF or hormones, which include estrogens, androgens, progestins, and corticosteroids.



In another embodiment, the bioactive agent is a cytotoxic drug selected from doxorubicin, daunorubicin, idarubicin, aclarubicin, zorubicin, mitoxantrone, epirubicin, carubicin, nogalamycin, menogaril, pitarubicin, valrubicin, cytarabine, gemcitabine, trifluridine, ancitabine, enocitabine, azacitidine, doxifluridine, pentostatin, broxuridine, capecitabine, cladribine, decitabine, floxuridine, fludarabine, gougierotin, puromycin, tegafur, tiazofurin, adriamycin, cisplatin, carboplatin, cyclophosphamide, dacarbazine, vinblastine, vincristine, mitoxantrone, bleomycin, mechlorethamine, prednisone, procarbazine, methotrexate, fluorouracils, etoposide, taxol, taxol analogs, and mitomycin.

10 In a preferred embodiment, the therapeutically effective dose of the monomeric calicheamicin derivative/anti-CD22 antibody conjugate is administered together with one or more combinations of cytotoxic agents as a part of a treatment regimen, wherein the combination of cytotoxic agents is selected from: CHOPP (cyclophosphamide, doxorubicin, vincristine, prednisone, and procarbazine); CHOP  
15 (cyclophosphamide, doxorubicin, vincristine, and prednisone); COP (cyclophosphamide, vincristine, and prednisone); CAP-BOP (cyclophosphamide, doxorubicin, procarbazine, bleomycin, vincristine, and prednisone); m-BACOD (methotrexate, bleomycin, doxorubicin, cyclophosphamide, vincristine, dexamethasone, and leucovorin); ProMACE-MOPP (prednisone, methotrexate,  
20 doxorubicin, cyclophosphamide, etoposide, leucovorin, mechlorethamine, vincristine, prednisone, and procarbazine); ProMACE-CytaBOM (prednisone, methotrexate, doxorubicin, cyclophosphamide, etoposide, leucovorin, cytarabine, bleomycin, and vincristine); MACOP-B (methotrexate, doxorubicin, cyclophosphamide, vincristine, prednisone, bleomycin, and leucovorin); MOPP (mechlorethamine, vincristine,  
25 prednisone, and procarbazine); ABVD (adriamycin/doxorubicin, bleomycin, vinblastine, and dacarbazine); MOPP (mechlorethamine, vincristine, prednisone and procarbazine) alternating with ABV (adriamycin/doxorubicin, bleomycin, and vinblastine); MOPP (mechlorethamine, vincristine, prednisone, and procarbazine) alternating with ABVD (adriamycin/doxorubicin, bleomycin, vinblastine, and  
30 dacarbazine); ChIVPP (chlorambucil, vinblastine, procarbazine, and prednisone); IMVP-16 (ifosfamide, methotrexate, and etoposide); MIME (methyl-gag, ifosfamide, methotrexate, and etoposide); DHAP (dexamethasone, high-dose cytarabine, and cisplatin); ESHAP (etoposide, methylprednisolone, high-dose cytarabine, and

cisplatin); CEPP(B) (cyclophosphamide, etoposide, procarbazine, prednisone, and bleomycin); CAMP (lomustine, mitoxantrone, cytarabine, and prednisone); CVP-1 (cyclophosphamide, vincristine, and prednisone); ESHOP (etoposide, methylprednisolone, high-dose cytarabine, vincristine and cisplatin); EPOCH  
 5 (etoposide, vincristine, and doxorubicin for 96 hours with bolus doses of cyclophosphamide and oral prednisone), ICE (ifosfamide, cyclophosphamide, and etoposide), CEPP(B) (cyclophosphamide, etoposide, procarbazine, prednisone, and bleomycin), CHOP-B. (cyclophosphamide, doxorubicin, vincristine, prednisone, and bleomycin), CEPP-B (cyclophosphamide, etoposide, procarbazine, and bleomycin),  
 10 and P/DOCE. (epirubicin or doxorubicin, vincristine, cyclophosphamide, and prednisone).

In one preferred embodiment, the monomeric calicheamicin derivative/anti-CD22 antibody conjugate is administered prior to the administration of one or more combinations of cytotoxic agents as a part of a treatment regimen.

15 In another preferred embodiment, the therapeutically effective dose of the monomeric calicheamicin derivative/anti-CD22 antibody conjugate is administered subsequent to the administration of one or more combinations of cytotoxic agents as part of a treatment regimen.

In yet another preferred embodiment, the therapeutically effective dose of the  
 20 monomeric calicheamicin derivative/anti-CD22 antibody conjugate is administered together with an antibody directed against a cell surface antigen on B-cell malignancies, and optionally comprising one or more combinations of cytotoxic agents as part of a treatment regimen.

In another aspect, the invention is directed to the use of the monomeric  
 25 calicheamicin derivative/anti-CD22 antibody conjugate of the present invention in the manufacture of a medicament for the treatment of a proliferative disorder. Such a medicament can be used to treat B-cell proliferative disorders either alone or in combination with other bioactive agents.

### 30 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the amino acid sequence of the CDRs of mouse monoclonal antibody 5/44 (SEQ ID NOS:1 to 6).

Figure 2 shows the DNA and protein sequence of the light chain variable ( $V_L$ ) domain of mouse monoclonal antibody 5/44.

Figure 3 shows the complete sequence of the heavy chain variable domain ( $V_H$ ) of mouse monoclonal antibody 5/44.

5 Figure 4 shows the strategy for removal of the glycosylation site and reactive lysine in CDR-H2.

Figure 5 shows the graft design for the 5/44 light chain sequence. DPK-9 is the human germ-line acceptor framework sequence. Vertical lines indicate differences between mouse and human residues. Sequences underlined indicate donor residues which have been retained in the graft. CDRs are indicated in bold italicized letters (not shown for DPK-9). Graft gL1 has 6 donor framework residues, gL2 has 7.

Figure 6 shows the graft design for the 5/44 heavy chain sequence; DP7 is the human germ-line acceptor framework sequence. Vertical lines indicate differences between mouse and human residues. Sequences underlined indicate donor residues which have been retained in the graft. CDRs are indicated initalized, bold letters (not shown for DP7). Grafts gH4 and gH6 have 6 donor framework residues. Grafts gH5 and gH7 have 4 donor framework residues.

Figure 7 shows the map of vector pMRR14.

20 Figure 8 shows the map of vector pMRR10.1.

Figure 9 shows the Biacore assay results of the chimeric 5/44 mutants.

Figure 10 shows the oligonucleotides for 5/44 gH1 and gL1 gene assemblies.

Figure11 shows the plasmid map of intermediate vector pCR2.1(544gH1).

Figure 12 shows the plasmid map of intermediate vector pCR2.1(544gL1).

25 Figure 13 shows the oligonucleotide cassettes used to make further grafts.

Figure 14 is a graph which shows a competition assay between fluorescently labeled mouse 5/44 antibody and grafted variants.

Figure 15 is a graph which shows a competition assay between fluorescently labeled mouse 5/44 antibody and grafted variants.

30 Figure 16 shows the full DNA and protein sequence of the grafted heavy and light chains.

Figure 17 is a schematic representation of an antibody-NAc-gamma calicheamicin DMH conjugate.

Figure 18 is a graph which shows the effect of CMC-544 on growth of RAMOS B-cell lymphoma.

5        Figure 19 is a graph which shows the effect of CMC-544 on large B-cell lymphomas in an *in vivo* xenograft model in nude mice.

Figure 20 is a graph which compares the effects of CMC-544 made with the CMA-676 conjugation process and the CMC-544 conjugation process on the growth of RL lymphoma.

10       Figure 21 is a graph which shows that rituximab (Rituxan™)-treated large RL lymphoma is susceptible to CMC-544 treatment.

Figure 22 is a graph which shows the effect of rituximab (Rituxan™) on the cytotoxic effect of CMC-544.

Figure 23 is a graph which shows the effect of CMC-544, rituximab (Rituxan™), and CMA-676 on the survival of SCID mice with disseminated early RAMOS B lymphoma.

15       Figure 24 is a graph which shows the effect of CMC-544, rituximab (Rituxan™), and CMA-676 on the survival of SCID mice with disseminated late RAMOS B lymphoma.

20       Figure 25 is a graph which shows the effect of CMC-544, rituximab (Rituxan™), and CMA-676 on the survival of SCID mice with disseminated late RAMOS B lymphoma.

Figure 26 is a graph which shows the effect of CMC-544, rituximab (Rituxan™), and CMA-676 on the survival of SCID mice with disseminated late RAMOS B lymphoma.

25       Figure 27 is a graph which shows the effect of CMC-544, rituximab (Rituxan™), and CMA-676 on the survival of SCID mice with disseminated late RAMOS B lymphoma.

Figure 28 is a graph which shows the anti-tumor activity of CMC-544 with and without rituximab (Rituxan™) on RL Non-Hodgkin's lymphoma.

30       Figure 29 is a graph which shows the antitumor activity of CMC-544 and CHOP on RL Non-Hodgkin's lymphoma.

## DETAILED DESCRIPTION OF THE INVENTION

The conjugates of the present invention comprise a cytotoxic drug derivatized with a linker that includes any reactive group that reacts with a proteinaceous carrier to form a cytotoxic drug derivative-proteinaceous carrier conjugate. Specifically, the conjugates of the present invention comprise a cytotoxic drug derivatized with a linker that includes any reactive group which reacts with an antibody used as a proteinaceous carrier to form a cytotoxic drug derivative-antibody conjugate. Specifically, the antibody reacts against a cell surface antigen on B-cell malignancies. Described below is an improved process for making and purifying such conjugates. The use of particular cosolvents, additives, and specific reaction conditions together with the separation process results in the formation of a monomeric cytotoxic drug derivative/ antibody conjugate with a significant reduction in the LCF. The monomeric form as opposed to the aggregated form has significant therapeutic value, and minimizing the LCF and substantially reducing aggregation results in the utilization of the antibody starting material in a therapeutically meaningful manner by preventing the LCF from competing with the more highly conjugated fraction (HCF).

## I. CARRIERS

The carriers/targeting agents of the present invention are preferably proteinaceous carriers/targeting agents. Included as carrier/targeting agents are hormones, growth factors, antibodies, antibody fragments, antibody mimics, and their genetically or enzymatically engineered counterparts, hereinafter referred to singularly or as a group as "carriers". The essential property of a carrier is its ability to recognize and bind to an antigen or receptor associated with undesired cells and to be subsequently internalized. Examples of carriers that are applicable in the present invention are disclosed in U.S. Patent No. 5,053,394, which is incorporated herein in its entirety. Preferred carriers for use in the present invention are antibodies and antibody mimics.

A number of non-immunoglobulin protein scaffolds have been used for generating antibody mimics that bind to antigenic epitopes with the specificity of an antibody (PCT publication No. WO 00/34784). For example, a "minibody" scaffold,

which is related to the immunoglobulin fold, has been designed by deleting three beta strands from a heavy chain variable domain of a monoclonal antibody (Tramontano et al., J. Mol. Recognit. 7:9, 1994). This protein includes 61 residues and can be used to present two hypervariable loops. These two loops have been randomized  
5 and products selected for antigen binding, but thus far the framework appears to have somewhat limited utility due to solubility problems. Another framework used to display loops is tendamistat, a protein that specifically inhibits mammalian alpha-amylases and is a 74 residue, six-strand beta-sheet sandwich held together by two disulfide bonds, (McConnell and Hoess, J. Mol. Biol. 250:460, 1995). This scaffold  
10 includes three loops, but, to date, only two of these loops have been examined for randomization potential.

Other proteins have been tested as frameworks and have been used to display randomized residues on alpha helical surfaces (Nord et al., Nat. Biotechnol. 15:772, 1997; Nord et al., Protein Eng. 8:601, 1995), loops between alpha helices in alpha helix  
15 bundles (Ku and Schultz, Proc. Natl. Acad. Sci. USA 92:6552, 1995), and loops constrained by disulfide bridges, such as those of the small protease inhibitors (Markland et al., Biochemistry 35:8045, 1996; Markland et al., Biochemistry 35:8058, 1996; Rottgen and Collins, Gene 164:243, 1995; Wang et al., J. Biol. Chem. 270:12250, 1995).

20 Examples of antibody carriers that may be used in the present invention include monoclonal antibodies, chimeric antibodies, humanized antibodies, human antibodies and biologically active fragments thereof. Preferably, such antibodies are directed against cell surface antigens expressed on target cells and/or tissues in proliferative disorders such as cancer. Examples of specific antibodies directed against cell surface  
25 antigens on target cells include without limitation, antibodies against CD22 antigen which is over-expressed on most B-cell lymphomas; G5/44, a humanized form of a murine anti-CD22 monoclonal antibody; antibodies against cell surface antigen CD33, which is prevalent on certain human myeloid tumors especially acute myeloid leukemia; hP67.6, a humanized form of the anti-CD33 murine antibody (see U.S. Patent No.  
30 5,773,001); an antibody against the PEM antigen found on many tumors of epithelial origin designated mP67.6 (see I.D. Bernstein et al., J. Clin. Invest. 79:1153 (1987) and I.D. Bernstein et al., J. Immunol. 128:867-881 (1992)); and a humanized antibody against the Lewis Y carbohydrate antigen overexpressed on many solid tumors

designated hu3S193, (see U.S. Patent No 6,310,185 B1). In addition, there are several commercially available antibodies such as rituximab (Rituxan<sup>TM</sup>) and trastuzumab (Herceptin<sup>TM</sup>), which may also be used as carriers/targeting agents. Rituximab (Rituxan<sup>TM</sup>) is a chimeric anti-CD20 antibody used to treat various B-cell lymphomas and trastuzumab (Herceptin<sup>TM</sup>) is a humanized anti-Her2 antibody used to treat breast cancer.

Exemplified herein for use as a carrier in the present invention is a CDR-grafted humanized antibody molecule directed against cell surface antigen CD22, designated G5/44. This antibody is a humanized form of a murine anti-CD22 monoclonal antibody that is directed against the cell surface antigen CD22, which is prevalent on certain human lymphomas. The term "a CDR-grafted antibody molecule" as used herein refers to an antibody molecule wherein the heavy and/or light chain contains one or more complementarity determining regions (CDRs) including, if desired, a modified CDR (hereinafter CDR) from a donor antibody (e.g., a murine monoclonal antibody) grafted into a heavy and/or light chain variable region framework of an acceptor antibody (e.g., a human antibody). Preferably, such a CDR-grafted antibody has a variable domain comprising human acceptor framework regions as well as one or more of the donor CDRs referred to above.

When the CDRs are grafted, any appropriate acceptor variable region framework sequence may be used having regard to the class/type of the donor antibody from which the CDRs are derived, including mouse, primate and human framework regions. Examples of human frameworks, which can be used in the present invention are KOL, NEWM, REI, EU, TUR, TEI, LAY and POM (Kabat *et al.* Seq. of Proteins of Immunol. Interest, 1:310-334 (1994)). For example, KOL and NEWM can be used for the heavy chain, REI can be used for the light chain and EU, LAY and POM can be used for both the heavy chain and the light chain.

In a CDR-grafted antibody of the present invention, it is preferred to use as the acceptor antibody one having chains which are homologous to the chains of the donor antibody. The acceptor heavy and light chains do not necessarily need to be derived from the same antibody and may, if desired, comprise composite chains having framework regions derived from different chains.

Also, in a CDR-grafted antibody of the present invention, the framework regions need not have exactly the same sequence as those of the acceptor antibody.

For instance, unusual residues may be changed to more frequently occurring residues for that acceptor chain class or type. Alternatively, selected residues in the acceptor framework regions may be changed so that they correspond to the residue found at the same position in the donor antibody or to a residue that is a conservative substitution for the residue found at the same position in the donor antibody. Such changes should be kept to the minimum necessary to recover the affinity of the donor antibody. A protocol for selecting residues in the acceptor framework regions which may need to be changed is set forth in PCT Publication No. WO 91/09967, which is incorporated herein in its entirety.

Donor residues are residues from the donor antibody, i.e., the antibody from which the CDRs were originally derived.

The antibody of the present invention may comprise a heavy chain wherein the variable domain comprises as CDR-H2 (as defined by Kabat *et al.*, (*supra*)) an H2' in which a potential glycosylation site sequence has been removed in order to increase the affinity of the antibody for the antigen.

Alternatively or additionally, the antibody of the present invention may comprise a heavy chain wherein the variable domain comprises as CDR-H2 (as defined by Kabat *et al.*, (*supra*)) an H2'' in which a lysine residue is at position 60. This lysine residue, which is located at an exposed position within CDR-H2, and is considered to have the potential to react with conjugation agents resulting in a reduction of antigen binding affinity, is substituted with an alternative amino acid.

Additionally, the antibody of the present invention may comprise a heavy chain wherein the variable domain comprises as CDR-H2 (as defined by Kabat *et al.*, (*supra*)) an H2''' in which both the potential glycosylation site sequence and the lysine residue at position 60, are substituted with alternative amino acids.

The antibody of the present invention may comprise: a complete antibody having full length heavy and light chains; a biologically active fragment thereof, such as a Fab, modified Fab, Fab', F(ab')<sub>2</sub> or Fv fragment; a light chain or heavy chain monomer or dimer; or a single chain antibody, e.g., a single chain Fv in which the heavy and light chain variable domains are joined by a peptide linker. Similarly, the heavy and light chain variable regions may be combined with other antibody domains as appropriate.



The antibody of the present invention may also include a modified Fab fragment wherein the modification is the addition of one or more amino acids to allow for the attachment of an effector or reporter molecule to the C-terminal end of its heavy chain. Preferably, the additional amino acids form a modified hinge region  
5 containing one or two cysteine residues to which the effector or reporter molecule may be attached.

The constant region domains of the antibody of the present invention, if present, may be selected having regard to the proposed function of the antibody, and in particular the effector functions which may or may not be required. For example,  
10 the constant region domains may be human IgA, IgD, IgE, IgG or IgM domains. In particular, human IgG constant region domains may be used, especially of the IgG1 and IgG3 isotypes when the antibody is intended for therapeutic uses and antibody effector functions are required. Alternatively, IgG2 and IgG4 isotypes may be used or the IgG1 Fc region may be mutated to abrogate the effector function when the  
15 antibody is intended for therapeutic purposes and antibody effector functions are not required or desired.

The antibody of the present invention has a binding affinity of at least  $5 \times 10^{-8}$  M, preferably at least  $1 \times 10^{-9}$  M, more preferably at least  $0.75 \times 10^{-10}$  M, and most preferably at least  $0.5 \times 10^{-10}$  M.

20 In one embodiment, the present invention relates to immunotoxin conjugates and methods for making these conjugates using antibody variants or antibody mimics. In a preferred embodiment, variants of the antibody of the present invention are directed against CD22 and display improved affinity for CD22. Such variants can be obtained by a number of affinity maturation protocols including mutating the CDRs  
25 (Yang *et al.*, J. Mol. Biol., 254, 392-403, 1995), chain shuffling (Marks *et al.*, Bio/Technology, 10, 779-783, 1992), use of mutator strains of *E. coli* (Low *et al.*, J. Mol. Biol., 250, 359-368, 1996), DNA shuffling (Patten *et al.*, Curr. Opin. Biotechnol., 8, 724-733, 1997), phage display (Thompson *et al.*, J. Mol. Biol., 256, 77-88, 1996) and sexual PCR (Crameri *et al.*, Nature, 391, 288-291, 1998).

30 Any suitable host cell/vector system may be used for expression of the DNA sequences encoding the carrier including antibodies of the present invention. Bacterial, for example *E. coli*, and other microbial systems may be used, in part, for expression of antibody fragments such as Fab and F(ab')<sub>2</sub> fragments, and especially

Fv fragments and single chain antibody fragments, for example, single chain Fvs. Eukaryotic, e.g. mammalian, host cell expression systems may be used for production of larger antibody, including complete antibody molecules. Suitable mammalian host cells include CHO, myeloma, yeast cells, insect cells, hybridoma  
 5 cells, NSO, VERO or PER C6 cells. Suitable expression systems also include transgenic animals and plants.

## II. THERAPEUTIC AGENTS

The therapeutic agents suitable for use in the present invention are cytotoxic  
 10 drugs that inhibit or disrupt tubulin polymerization, alkylating agents that bind to and disrupt DNA, and agents which inhibit protein synthesis or essential cellular proteins such as protein kinases, enzymes and cyclins. Examples of such cytotoxic drugs include, but are not limited to thiotepa, taxanes, vincristine, daunorubicin, doxorubicin,  
 15 epirubicin, actinomycin, anthracycline, azaserines, bleomycins, tamoxifen, idarubicin, dolastatins/auristatins, hemisasterlins, calicheamicins, esperamicins and maytansinoids. Preferred cytotoxic drugs are the calicheamicins, which are an example of the methyl trisulfide antitumor antibiotics. Examples of calicheamicins suitable for use in the present invention are disclosed, for example, in U.S. Patent No. 4,671,958; U.S. Patent No. 4,970,198, U.S. Patent No. 5,053,394, U.S. Patent No. 5,037,651; and U.S. Patent  
 20 No. 5,079,233, which are incorporated herein in their entirety. Preferred calicheamicins are the gamma-calicheamicin derivatives or the N-acetyl gamma-calicheamicin derivatives.

## III. CYTOTOXIC DRUG DERIVATIVE/CARRIER CONJUGATES

25 The conjugates of the present invention have the formula  $\text{Pr}(-\text{X}-\text{W})_m$  wherein:

Pr is a proteinaceous carrier,

X is a linker that comprises a product of any reactive group that can react with a proteinaceous carrier,

30 W is the cytotoxic drug ;

m is the average loading for a purified conjugation product such that the calicheamicin constitutes 4 - 10% of the conjugate by weight; and

$(-\text{X}-\text{W})_m$  is a cytotoxic drug

Preferably, X has the formula



wherein

- Alk<sup>1</sup> and Alk<sup>2</sup> are independently a bond or branched or unbranched (C<sub>1</sub>-C<sub>10</sub>) alkylene chain;
- 5 Sp<sup>1</sup> is a bond, -S-, -O-, -CONH-, -NHCO-, -NR'-, -N(CH<sub>2</sub>CH<sub>2</sub>)<sub>2</sub>N-, or -X-Ar'-Y-(CH<sub>2</sub>)<sub>n</sub>-Z wherein X, Y, and Z are independently a bond, -NR'-, -S-, or -O-, with the proviso that when n = 0, then at least one of Y and Z must be a bond and Ar' is 1,2-, 1,3-, or 1,4-phenylene optionally substituted with one, two, or three groups of (C<sub>1</sub>-C<sub>5</sub>)
- 10 alkyl, (C<sub>1</sub>-C<sub>4</sub>) alkoxy, (C<sub>1</sub>-C<sub>4</sub>) thioalkoxy, halogen, nitro, -COOR', -CONHR', -(CH<sub>2</sub>)<sub>n</sub>COOR', -S(CH<sub>2</sub>)<sub>n</sub>COOR', -O(CH<sub>2</sub>)<sub>n</sub>CONHR', or

Sp<sup>2</sup> is a bond, -S-, or -O-, with the proviso that when Alk<sup>2</sup> is a bond, Sp<sup>2</sup> is a bond;

Z<sup>1</sup> is H, (C<sub>1</sub>-C<sub>5</sub>) alkyl, or phenyl optionally substituted with one, two, or three groups of (C<sub>1</sub>-C<sub>5</sub>) alkyl, (C<sub>1</sub>-C<sub>5</sub>) alkoxy, (C<sub>1</sub>-C<sub>4</sub>) thioalkoxy, halogen, nitro, -COOR',  
 5 -ONHR', -O(CH<sub>2</sub>)<sub>n</sub>COOR', -S(CH<sub>2</sub>)<sub>n</sub>COOR', -O(CH<sub>2</sub>)<sub>n</sub>CONHR', or -S(CH<sub>2</sub>)<sub>n</sub>CONHR' wherein n and R' are as defined above;

Sp is a straight or branched-chain divalent or trivalent (C<sub>1</sub>-C<sub>18</sub>) radical, divalent or trivalent aryl or heteroaryl radical, divalent or trivalent (C<sub>3</sub>-C<sub>18</sub>) cycloalkyl or heterocycloalkyl radical, divalent or trivalent aryl- or heteroaryl-aryl (C<sub>1</sub>-C<sub>18</sub>) radical,  
 10 divalent or trivalent cycloalkyl- or heterocycloalkyl-alkyl (C<sub>1</sub>-C<sub>18</sub>) radical or divalent or trivalent (C<sub>2</sub>-C<sub>18</sub>) unsaturated alkyl radical, wherein heteroaryl is preferably furyl, thienyl, N-methylpyrrolyl, pyridinyl, N-methylimidazolyl, oxazolyl, pyrimidinyl, quinolyl, isoquinolyl, N-methylcarbazoyl, aminocourmarinyl, or phenazinyl and wherein if Sp is a trivalent radical, Sp can be additionally substituted by lower (C<sub>1</sub>-C<sub>5</sub>) dialkylamino, lower  
 15 (C<sub>1</sub>-C<sub>5</sub>) alkoxy, hydroxy, or lower (C<sub>1</sub>-C<sub>5</sub>) alkylthio groups; and

Q is =NHNCO-, =NHNCS-, =NHNCONH-, =NHNCSNH-, or =NHO-.

Preferably, Alk<sup>1</sup> is a branched or unbranched (C<sub>1</sub>-C<sub>10</sub>) alkylene chain; Sp' is a bond, -S-, -O-, -CONH-, -NHCO-, or -NR' wherein R' is as hereinbefore defined, with the proviso that when Alk<sup>1</sup> is a bond, Sp<sup>1</sup> is a bond;

20 Ar is 1,2-, 1,3-, or 1,4-phenylene optionally substituted with one, two, or three groups of (C<sub>1</sub>-C<sub>6</sub>) alkyl, (C<sub>1</sub>-C<sub>5</sub>) alkoxy, (C<sub>1</sub>-C<sub>4</sub>) thioalkoxy, halogen, nitro, -COOR', -CONHR', -O(CH<sub>2</sub>)<sub>n</sub>COOR', -S(CH<sub>2</sub>)<sub>n</sub>COOR', -O(CH<sub>2</sub>)<sub>n</sub>CONHR', or -S(CH<sub>2</sub>)<sub>n</sub>CONHR' wherein n and R' are as hereinbefore defined, or Ar is a 1,2-, 1,3-, 1,4-, 1,5-, 1,6-, 1,7-, 1,8-, 2,3-, 2,6-, or 2,7- naphthylidene each optionally substituted with one, two, three, or  
 25 four groups of (C<sub>1</sub>-C<sub>6</sub>) alkyl, (C<sub>1</sub>-C<sub>5</sub>) alkoxy, (C<sub>1</sub>-C<sub>4</sub>) thioalkoxy, halogen, nitro, -COOR', -CONHR', -O(CH<sub>2</sub>)<sub>n</sub>COOR', -S(CH<sub>2</sub>)<sub>n</sub>COOR', -O(CH<sub>2</sub>)<sub>n</sub>CONHR', or -S(CH<sub>2</sub>)<sub>n</sub>CONHR'.

Z<sup>1</sup> is (C<sub>1</sub>-C<sub>5</sub>) alkyl, or phenyl optionally substituted with one, two, or three groups of (C<sub>1</sub>-C<sub>5</sub>) alkyl, (C<sub>1</sub>-C<sub>4</sub>) alkoxy, (C<sub>1</sub>-C<sub>4</sub>) thioalkoxy, halogen, nitro, -COOR', -CONHR', -O(CH<sub>2</sub>)<sub>n</sub>COOR', -S(CH<sub>2</sub>)<sub>n</sub>COOR', -O(CH<sub>2</sub>)<sub>n</sub>CONHR', or -S(CH<sub>2</sub>)<sub>n</sub>CONHR'; Alk<sup>2</sup> and Sp<sup>2</sup>  
 30 are together a bond; and Sp and Q are as immediately defined above.

U.S. Patent No. 5,773,001, incorporated herein in its entirety, discloses linkers that can be used with nucleophilic derivatives, particularly hydrazides and related nucleophiles, prepared from the calicheamicins. These linkers are especially useful in

those cases where better activity is obtained when the linkage formed between the drug and the linker is hydrolyzable. These linkers contain two functional groups. One group typically is a carboxylic acid that is utilized to react with the carrier. The acid functional group, when properly activated, can form an amide linkage with a free amine group of the carrier, such as, for example, the amine in the side chain of a lysine of an antibody or other proteinaceous carrier. The other functional group commonly is a carbonyl group, i.e., an aldehyde or a ketone, which will react with the appropriately modified therapeutic agent. The carbonyl groups can react with a hydrazide group on the drug to form a hydrazone linkage. This linkage is hydrolyzable, allowing for release of the therapeutic agent from the conjugate after binding to the target cells.

A most preferred bifunctional linker for use in the present invention is 4-(4-acetylphenoxy) butanoic acid (AcBut), which results in a preferred product wherein the conjugate consists of  $\beta$ -calicheamicin,  $\gamma$ -calicheamicin or N-acetyl  $\gamma$ -calicheamicin functionalized by reacting with 3-mercapto-3-methyl butanoyl hydrazide, the AcBut linker, and a human or humanized IgG antibody targeting carrier.

#### IV. MONOMERIC CONJUGATION

The natural hydrophobic nature of many cytotoxic drugs including the calicheamicins creates difficulties in the preparation of monomeric drug conjugates with good drug loadings and reasonable yields which are necessary for therapeutic applications. The increased hydrophobicity of the linkage provided by linkers, such as the AcBut linker, disclosed in U.S. Patent No. 5,773,001, as well as the increased covalent distance separating the therapeutic agent from the carrier (antibody), exacerbate this problem.

Aggregation of cytotoxic drug derivative/carrier conjugates with higher drug loadings occurs due to the hydrophobic nature of the drugs. The drug loading often has to be limited to obtain reasonable quantities of monomeric product. In some cases, such as with the conjugates in U.S. Patent No. 5,877,296, it is often difficult to make conjugates in useful yields with useful loadings for therapeutic applications using the reaction conditions disclosed in U.S. Patent No. 5,053,394 due to excessive aggregation. These reaction conditions utilized DMF as the co-solvent in the

conjugation reaction. Methods which allow for higher drug loadings/yield without aggregation and the inherent loss of material are therefore needed.

Improvements to reduce aggregation are described in U.S. Patent Nos. 5,712,374 and 5,714,586, which are incorporated herein in their entirety. Disclosed in those patents are proteinaceous carriers including, but not limited to, proteins such as human or humanized antibodies that are used to target the cytotoxic therapeutic agents, such as, for example, hP67.6 and the other humanized antibodies disclosed therein. In those patents, the use of a non-nucleophilic, protein-compatible, buffered solution containing (i) propylene glycol as a cosolvent and (ii) an additive comprising at least one C<sub>6</sub>-C<sub>18</sub> carboxylic acid was found to generally produce monomeric cytotoxic drug derivative derivative/carrier conjugates with higher drug loading/yield and decreased aggregation having excellent activity. Preferred acids described therein were C<sub>7</sub> to C<sub>12</sub> acids, and the most preferred acid was octanoic acid (such as caprylic acid) or its salts. Preferred buffered solutions for conjugates made from N-hydroxysuccinimide (OSu) esters or other comparably activated esters were phosphate-buffered saline (PBS) or N-2-hydroxyethyl piperazine-N'-2-ethanesulfonic acid (HEPES buffer). The buffered solution used in those conjugation reactions cannot contain free amines or nucleophiles. For other types of conjugates, acceptable buffers can be readily determined. Alternatively, the use of a non-nucleophilic, protein-compatible, buffered solution containing t-butanol without the additional additive was also found to produce monomeric calicheamicin derivative/carrier conjugates with higher drug loading/yield and decreased aggregation.

The amount of cosolvent needed to form a monomeric conjugate varies somewhat from protein to protein and can be determined by those of ordinary skill in the art without undue experimentation. The amount of additive necessary to effectively form a monomeric conjugate also varies from antibody to antibody. This amount can also be determined by one of ordinary skill in the art without undue experimentation. In U.S. Patent Nos. 5,712,374 and 5,714,586, additions of propylene glycol in amounts ranging from 10% to 60%, preferably 10% to 40%, and most preferably about 30% by volume of the total solution, and an additive comprising at least one C<sub>6</sub>-C<sub>18</sub> carboxylic acid or its salt, preferably caprylic acid or its salt, in amounts ranging from 20 mM to 100 mM, preferably from 40 mM to 90 mM, and most preferably about 60 mM to 90 mM were added to conjugation reactions to produce monomeric cytotoxic drug

derivative/carrier conjugates with higher drug loading/yield and decreased aggregation. Other protein-compatible organic cosolvents other than propylene glycol, such as ethylene glycol, ethanol, DMF, DMSO, etc., could also be used. Some or all of the organic cosolvent was used to transfer the drug into the conjugation mixture.

5           Alternatively, in those patents, the concentration of the C<sub>6</sub>-C<sub>18</sub> carboxylic acid or its salt could be increased to 150-300 mM and the cosolvent dropped to 1-10%. In one embodiment, the carboxylic acid was octanoic acid or its salt. In a preferred embodiment, the carboxylic acid was decanoic acid or its salt. In another preferred embodiment, the carboxylic acid was caprylic acid or its salt, which was present at a  
10           concentration of 200 mM caprylic acid together with 5% propylene glycol or ethanol.

          In another alternative embodiment in those patents, t-butanol at concentrations ranging from 10% to 25%, preferably 15%, by volume of the total solution could be added to the conjugation reaction to produce monomeric cytotoxic drug derivative/carrier conjugates with higher drug loading/yield and decreased aggregation.

15           These established conjugation conditions were applied to the formation of CMA-676 (Gemtuzumab Ozogamicin), which is now commercially sold as Mylotarg<sup>TM</sup>. Since introduction of this treatment for acute myeloid leukemia (AML), it has been learned through the use of ion-exchange chromatography that the calicheamicin is not distributed on the antibody in a uniform manner. Most of the calicheamicin is on  
20           approximately half of the antibody, while the other half exists in a LCF that contains only small amounts of calicheamicin. Consequently, there is a critical need to improve the methods for conjugating cytotoxic drugs such as calicheamicins to carriers which minimize the amount of aggregation and allow for a higher uniform drug loading with a significantly improved yield of the conjugate product.

25           A specific example is that of the G5/44-NAc-gamma-calicheamicin DMH AcBut conjugate, which is referred to as CMC-544 and is generically shown in Figure 17. The reduction of the amount of the LCF to <10% of the total antibody was desired for development of CMC-544, and various options for reduction of the levels of the LCF were considered. Other attributes of the immunoconjugate, such as antigen  
30           binding and cytotoxicity, must not be affected by the ultimate solution. The options considered included genetic or physical modification of the antibody, the chromatographic separation techniques, or the modification of the reaction conditions.

Reaction of the G5/44 antibody with NAc-gamma-calicheamicin DMH AcBut OSu using the old reaction conditions (CMA-676 Process Conditions) resulted in a product with similar physical properties (drug loading, LCF, and aggregation) as CMA-676. However, the high level (50-60%) of LCF present after conjugation was deemed undesirable. Optimal reaction conditions were determined through statistical experimental design methodology in which key reaction variables such as temperature, pH, calicheamicin derivative input, and additive concentration, were evaluated. Analysis of these experiments demonstrated that calicheamicin input and additive concentration had the most significant effects on the level of the low conjugated fraction LCF and aggregate formation, while temperature and pH exerted smaller influences. In additional experiments, it was also shown that the concentrations of protein carrier (antibody) and cosolvent (ethanol) were similarly of lesser importance (compared to calicheamicin input and additive concentration) in controlling LCF and aggregate levels. In order to reduce the LCF to <10%, the calicheamicin derivative input was increased from 3% to 8.5% (w/w) relative to the amount of antibody in the reaction. The additive was changed from octanoic acid or its salt at a concentration of 200 mM (CMA-676 process) to decanoic acid or its salt at a concentration of 37.5 mM. The conjugation reaction proceeded better at slightly elevated temperature (30-35°C) and pH (8.2-8.7). The reaction conditions incorporating these changes reduced the LCF to below 10 percent while increasing calicheamicin loading, and is hereinafter referred to as CMC-544 Process Condition or "new" process conditions. A comparison of the results obtained with the CMA-676 and CMC-544 Process Conditions is shown in Table 1.



TABLE 1: COMPARISON OF THE CMA-676 AND CMC-544 PROCESS  
CONDITIONS

CONDITIONS/RÉSULTS	CMA-676 PROCESS CONDITIONS	CMC-544 PROCESS CONDITIONS
Calicheamicin Input	3.0% (w/w powder weight basis)	8.5% (w/w)
Additive Identity and Concentration	Octanoic acid/Sodium octanoic; 200 mM	Decanoic acid/Sodium decanoate; 37.5 mM
Temperature	26°C	31-35°C
PH	7.8	8.2-8.7
Calicheamicin Loading (percent by weight; by UV assay)	2.4-3.5	7.0-9.0
Low Conjugated Fraction (LCF) (before purification)	45-65 HPLC Area %	<10%
Aggregation (before purification)	~5%	<5%
Aggregation (after purification)	≤2%	<2%

5           The increase in calicheamicin input increased the drug loading from 2.5-3.0 weight percent to 7.0-9.0 (most typically 7.5-8.5) weight percent, and resulted in no increase in protein aggregation in the reaction. Due to reduction of aggregate and LCF, the CMC-544 Process Conditions resulted in a more homogeneous product. CMC-544 has been reproducibly prepared by this new conjugation procedure at the  
10 multi-gram antibody scale.

          In the foregoing reactions, the concentration of antibody can range from 1 to 15 mg/ml and the concentration of the calicheamicin derivative, e.g., N-Acetyl gamma-calicheamicin DMH AcBut OSu ester (used to make the conjugates shown in Figure 17), ranges from about 4.5-11% by weight of the antibody. The cosolvent was  
15 ethanol, for which good results have been demonstrated at concentrations ranging from 6 to 11.4% (volume basis). The reactions were performed in PBS, HEPES, N-(2-Hydroxyethyl)piperazine-N'-(4-butanefulfonic acid) (HEPBS), or other compatible buffer at a pH of 8 to 9, at a temperature ranging from 30° C to about 35° C, and for times ranging from 15 minutes to 24 hours. Those who are skilled in the art can  
20 readily determine acceptable pH ranges for other types of conjugates. For various

antibodies the use of slight variations in the combinations of the aforementioned additives have been found to improve drug loading and monomeric conjugate yield, and it is understood that any particular protein carrier may require some minor alterations in the exact conditions or choice of additives to achieve the optimum results.

## V. CONJUGATE PURIFICATION AND SEPARATION

Following conjugation, the monomeric conjugates may be separated from the unconjugated reactants (such as proteinaceous carrier and free cytotoxic drug/calicheamicin) and/or the aggregated form of the conjugates by conventional methods, for example, size exclusion chromatography (SEC), hydrophobic interaction chromatography (HIC), ion exchange chromatography (IEC), or chromatofocusing (CF). The purified conjugates are monomeric, and usually contain from 4 to 10% by weight cytotoxic drug/calicheamicin. In a preferred embodiment, the conjugates are purified using hydrophobic interaction chromatography (HIC). In the processes previously used for the production-scale manufacturing of cytotoxic drug/calicheamicin-antibody conjugates (CMA-676 process), the sole post-conjugation separation step employed was size exclusion chromatography (SEC). While this step is quite effective at both removing aggregated conjugate and in accomplishing buffer exchange for formulation, it is ineffective at reducing the LCF content. Consequently, the SEC-based process relies entirely on the chemistry of the conjugation reaction to control the LCF content of the final product. Another disadvantage of SEC is the limitation of the volume of conjugate reaction mixture applied to the column (typically not exceeding 5 percent of the process column bed volume). This severely limits the batch size (and therefore production capacity) that can be supported in a given production space. Finally, the SEC purification process also results in significant dilution of the conjugate solution, which places constraints on the protein concentration that can be dependably achieved in formulation.

When a cytotoxic drug has a highly hydrophobic nature, such as a calicheamicin derivative, and is used in a conjugate, hydrophobic interaction chromatography (HIC) is a preferred candidate to provide effective separation of conjugated and unconjugated antibody. HIC presents three key advantages over SEC: (1) it has the capability to efficiently reduce the LCF content as well as the

aggregate; (2) the column load capacity for HIC is much higher; and (3) HIC avoids excessive dilution of the product.

5 A number of high-capacity HIC media suitable for production scale use, such as Butyl, Phenyl and Octyl Sepharose 4 Fast Flow (Amersham Biosciences, Piscataway, NJ), can effectively separate unconjugated components and aggregates of the conjugate from monomeric conjugated components following the conjugation process.

## VI. COMPOSITIONS AND FORMULATIONS

10 The present invention also provides a process for the preparation of a therapeutic or diagnostic composition/formulation comprising admixing the monomeric cytotoxic drug derivative/carrier conjugate of the present invention together with a pharmaceutically acceptable excipient, diluent or carrier.

15 The monomeric cytotoxic drug derivative/carrier conjugate may be the sole active ingredient in the therapeutic or diagnostic composition/formulation or may be accompanied by other active ingredients including other antibody ingredients, for example anti-CD19, anti-CD20, anti-CD33, anti-T cell, anti-IFN $\gamma$  or anti-LPS antibodies, or non-antibody ingredients such as cytokines, growth factors, hormones, anti-hormones, cytotoxic drugs and xanthines.

20 Cytokines and growth factors that may be used to treat proliferative disorders such as cancer, and which may be used together with the cytotoxic drug derivative/carrier conjugates of the present invention include interferons, interleukins such as interleukin 2 (IL-2), TNF, CSF, GM-CSF and G-CSF.

25 Hormones commonly used to treat proliferative disorders such as cancer and which may be used together with the cytotoxic drug derivative/ carrier conjugates of the present invention include estrogens such as diethylstilbestrol and estradiol, androgens such as testosterone and Halotestin, progestins such as Megace and Provera, and corticosteroids such as prednisone, dexamethasone, and hydrocortisone.

30 Antihormones such as antiestrogens, *i.e.*, tamoxifen, antiandrogens *i.e.*, flutamide and antiadrenal agents are commonly used to treat proliferative disorders such as cancer, and may be used together with the cytotoxic drug derivative/ carrier conjugate of the present invention.

Chemotherapeutic/antineoplastic agents commonly used to treat proliferative disorders such as cancer, and which may be used together with the cytotoxic drug derivative/ carrier conjugate of the present invention include, but are not limited to, Adriamycin, cisplatin, carboplatin, vinblastine, vincristine, bleomycin, methotrexate, doxorubicin, flurouracil, etoposide, taxol and its various analogs, and mitomycin.

The compositions should preferably comprise a therapeutically effective amount of a conjugate of the invention. The term "therapeutically effective amount" as used herein refers to an amount of a therapeutic agent needed to treat, ameliorate or prevent a targeted disease or condition, or to exhibit a detectable therapeutic or preventative effect. For any conjugate, the therapeutically effective dose can be estimated initially either in cell culture assays or in animal models, usually in rodents, rabbits, dogs, pigs or primates. The animal model may also be used to determine the appropriate concentration range and route of administration. Such information can then be used to determine useful doses and routes for administration in humans.

The precise effective amount for a human subject will depend upon the severity of the disease state, the general health of the subject, the age, weight and gender of the subject, diet, time and frequency of administration, drug combination(s), reaction sensitivities and tolerance/response to therapy. This amount can be determined by routine experimentation and is within the judgment of the clinician. Generally, an effective dose will be from 0.1 mg/m<sup>2</sup> to 50 mg/m<sup>2</sup>, preferably 0.4 mg/m<sup>2</sup> to 30 mg/m<sup>2</sup>, more preferably 2 mg/m<sup>2</sup> to 9 mg/m<sup>2</sup>, which dose is calculated on the basis of the proteinaceous carrier.

Compositions may be administered individually to a patient or may be administered in combination with other agents, drugs or hormones. The dose at which the monomeric cytotoxic drug derivative/ antibody conjugate of the present invention is administered depends on the nature of the condition to be treated, the grade of the malignant lymphoma or leukemia and on whether the conjugate is being used prophylactically or to treat an existing condition.

The frequency of dose will depend on the half-life of the conjugate and the duration of its effect. If the conjugate has a short half-life (e.g., 2 to 10 hours) it may be necessary to give one or more doses per day. Alternatively, if the conjugate molecule has a long half-life (e.g., 2 to 15 days) it may only be necessary to give a dosage once per day, once per week or even once every 1 or 2 months.

A composition may also contain a pharmaceutically acceptable carrier for administration of the antibody conjugate. The carrier should not itself induce the production of antibodies harmful to the individual receiving the composition and should not be toxic. Suitable carriers may be large, slowly metabolized  
5 macromolecules such as proteins, polypeptides, liposomes, polysaccharides, polylactic acids, polyglycolic acids, polymeric amino acids, amino acid copolymers and inactive virus particles.

Pharmaceutically acceptable salts can be used, for example mineral acid salts, such as hydrochlorides, hydrobromides, phosphates and sulfates, or salts of  
10 organic acids, such as acetates, propionates, malonates and benzoates.

Pharmaceutically acceptable carriers in these compositions may additionally contain liquids such as water, saline, glycerol, and ethanol. Additionally, auxiliary substances, such as wetting or emulsifying agents or pH buffering substances, may be present in such compositions. Such carriers enable the compositions to be  
15 formulated as tablets, pills, dragees, capsules, liquids, gels, syrups, slurries or suspensions, for ingestion by the patient.

Preferred forms for administration include forms suitable for parenteral administration, e.g., by injection or infusion, for example by bolus injection or continuous infusion. Where the product is for injection or infusion, it may take the  
20 form of a suspension, solution or emulsion in an oily or aqueous vehicle and it may contain formulatory agents, such as suspending, preserving, stabilizing and/or dispersing agents.

Although the stability of the buffered conjugate solutions is adequate for short-term stability, long-term stability is poor. To enhance stability of the conjugate  
25 and to increase its shelf life, the antibody-drug conjugate may be lyophilized to a dry form, for reconstitution before use with an appropriate sterile liquid. The problems associated with lyophilization of a protein solution are well documented. Loss of secondary, tertiary and quaternary structure can occur during freezing and drying processes. Consequently, cryoprotectants may have to be included to act as an  
30 amorphous stabilizer of the conjugate and to maintain the structural integrity of the protein during the lyophilization process. In one embodiment, the cryoprotectant useful in the present invention is a sugar alcohol, such as alditol, mannitol, sorbitol, inositol, polyethylene glycol, and combinations thereof. In another embodiment, the

cryoprotectant is a sugar acid, including an aldonic acid, an uronic acid, an aldonic acid, and combinations thereof.

The cryoprotectant of this invention may also be a carbohydrate. Suitable carbohydrates are aldehyde or ketone compounds containing two or more hydroxyl groups. The carbohydrates may be cyclic or linear and include, for example, aldoses, ketoses, amino sugars, alditols, inositols, aldonic acids, uronic acids, or aldonic acids, or combinations thereof. The carbohydrate may also be a mono-, a di-, or a poly-carbohydrate, such as for example, a disaccharide or polysaccharide. Suitable carbohydrates include for example, glyceraldehydes, arabinose, lyxose, pentose, ribose, xylose, galactose, glucose, hexose, idose, mannose, talose, heptose, glucose, fructose, gluconic acid, sorbitol, lactose, mannitol, methyl  $\alpha$ -glucopyranoside, maltose, isoascorbic acid, ascorbic acid, lactone, sorbose, glucaric acid, erythrose, threose, arabinose, allose, altrose, gulose, idose, talose, erythrulose, ribulose, xylulose, psicose, tagatose, glucuronic acid, gluconic acid, glucaric acid, galacturonic acid, mannuronic acid, glucosamine, galactosamine, sucrose, trehalose or neuraminic acid, or derivatives thereof. Suitable polycarbohydrates include, for example, arabinans, fructans, fucans, galactans, galacturonans, glucans, mannans, xylans (such as, for example, inulin), levan, fucoidan, carrageenan, galactocarlose, pectins, pectic acids, amylose, pullulan, glycogen, amylopectin, cellulose, dextran, pustulan, chitin, agarose, keratin, chondroitin, dermatan, hyaluronic acid, alginic acid, xanthin gum, or starch. Among particularly useful carbohydrates are sucrose, glucose, lactose, trehalose, and combinations thereof. Sucrose is a particularly useful cryoprotectant.

Preferably, the cryoprotectant of the present invention is a carbohydrate or "sugar" alcohol, which may be a polyhydric alcohol. Polyhydric compounds are compounds that contain more than one hydroxyl group. Preferably, the polyhydric compounds are linear. Suitable polyhydric compounds include, for example, glycols such as ethylene glycol, polyethylene glycol, and polypropylene glycol, glycerol, or pentaerythritol; or combinations thereof.

In some preferred embodiments, the cryoprotectant agent is sucrose, trehalose, mannitol, or sorbitol.

Once formulated, the compositions of the invention can be administered directly to the subject. The subjects to be treated can be animals. However, it is preferred that the compositions are adapted for administration to human subjects.

The compositions of the present invention may be administered by any  
5 number of routes including, but not limited to, oral, intravenous, intramuscular, intra-arterial, intramedullary, intrathecal, intraventricular, transdermal, transcutaneous (see PCT Publication No. WO98/20734), subcutaneous, intraperitoneal, intranasal, enteral, topical, sublingual, intravaginal or rectal routes. Hyposprays may also be used to administer the compositions of the invention. Typically, the compositions  
10 may be prepared as injectables, either as liquid solutions or suspensions. Solid forms suitable for solution in, or suspension in, liquid vehicles prior to injection may also be prepared.

Direct delivery of the compositions will generally be accomplished by injection, subcutaneously, intraperitoneally, intravenously or intramuscularly, or  
15 delivered to the interstitial space of a tissue. The compositions can also be administered into a lesion. Dosage treatment may be a single dose schedule or a multiple dose schedule.

It will be appreciated that the active ingredient in the composition will be a cytotoxic drug/proteinaceous carrier conjugate. As such, it will be susceptible to  
20 degradation in the gastrointestinal tract. Thus, if the composition is to be administered by a route using the gastrointestinal tract, the composition will need to contain agents which protect the conjugate from degradation, but which release the conjugate once it has been absorbed from the gastrointestinal tract.

A thorough discussion of pharmaceutically acceptable carriers is available in  
25 Remington's Pharmaceutical Sciences (Mack Publishing Company, N.J. 1991).

The present invention in particular provides a monomeric calicheamicin derivative/ humanized anti-CD22 antibody (G5/44), CMC-544, for use in treating proliferative disorders characterized by cells expressing CD22 antigen on their surface.

30 The present invention further provides the use of CMC-544 in the manufacture of a composition or a medicament for the treatment of a proliferative disorder characterized by cells expressing CD22.

CMC-544 may also be utilized in any therapy where it is desired to reduce the level of cells expressing CD22 that are present in the subject being treated with the composition or a medicament disclosed herein. Specifically, the composition or medicament is used to treat humans or animals with proliferative disorders namely lymphomas and leukemias, which express CD22 antigen on the cell surface. These CD22-expressing cells may be circulating in the body or be present in an undesirably large number localized at a particular site in the body.

CMC-544 may also be preferably used for treatment of malignancies of B-lymphocyte lineage including lymphomas and leukemias, most preferably Non-Hodgkin's Lymphoma (NHL) including low grade/follicular Non-Hodgkin's Lymphoma (NHL), intermediate grade/follicular Non-Hodgkin's Lymphoma (NHL), intermediate grade diffuse Non-Hodgkin's Lymphoma (NHL), high grade lymphoblastic Non-Hodgkin's Lymphoma (NHL), high grade small non-cleaved Non-Hodgkin's Lymphoma (NHL), bulky disease Non-Hodgkin's Lymphoma (NHL), mantle cell lymphoma, AIDS-related lymphoma, Waldenstrom's Macroglobulinemia, small lymphocytic/B cell chronic lymphocytic leukemia (SLL/B-CLL), lymphoplasmacytoid lymphoma (LPL), monocytic B cell lymphoma, angioimmunoblastic lymphadenopathy, mixed small cell cleaved and large cell lymphoma, acute lymphocytic leukemia (ALL), multiple myeloma, acute lymphocyte leukemia (ALL) and chronic lymphocytic leukemia (CLL). CMC-544, can be used alone or in combination with other bioactive agents to treat subjects suffering from B-cell malignancies.

Bioactive agents commonly used include growth factors, antibodies, cytokines, and cytotoxic drugs. Cytotoxic drugs commonly used to treat proliferative disorders such as cancer, and which may be used together with CMC-544 include an anthracycline such as doxorubicin, daunorubicin, idarubicin, aclarubicin, zorubicin, mitoxantrone, epirubicin, carubicin, nogalamycin, menogaril, pitarubicin, and valrubicin for up to three days; and a pyrimidine or purine nucleoside such as cytarabine, gemcitabine, trifluridine, ancitabine, enocitabine, azacitidine, doxifluridine, pentostatin, broxuridine, capecitabine, cladribine, decitabine, floxuridine, fludarabine, gougertin, puromycin, tegafur, tiazofurin. Other chemotherapeutic/antineoplastic agents that may be administered in combination with CMC-544 include Adriamycin, cisplatin, carboplatin, cyclophosphamide, dacarbazine, vinblastine, vincristine, mitoxantrone, bleomycin, mechlorethamine, prednisone, procarbazine, methotrexate,



flurouracils, etoposide, geldanamycin, fluoropiridol, taxol and its various analogs, and mitomycin. CMC-544 may be administered concurrently with one or more of these therapeutic agents. Alternatively, CMC-544 may be administered sequentially with one or more of these therapeutic agents.

5 CMC-544 may also be administered alone, concurrently, or sequentially with a combination of other bioactive agents such as growth factors, cytokines, steroids, and other B-cell depleting antibodies such as anti-CD19 antibody, anti-CD20 antibody, such as rituximab (Rituxan™), anti-CD33 antibody, anti-Lym antibodies, and /or these antibodies labeled with cytotoxic moieties such as toxins and radiolabels, e.g., Zevalin™ (Ibritumomab Tiuxetan) (IDEC, San Diego, CA), Oncolym.RTM™ (Peregrine Pharmaceuticals, Tustin, CA) and Bexxar™ (Tositumomab and Iodine I 131 Tositumomab) (Corixa Corporation), thalidomide and its homologs such as Revemid™, Actimid™ (Cellgene Corp., Warren, New Jersey) and other immunomodulatory drugs (ImiDs); anti-angiogenic agents including VEGF121/rGelolin, endostatin, VEGF-trap (Regeneron), ONTAK (denileukin diftitox), 10 rhuMAb-VEGF (Bevacizumab, Avastatin); and chemotherapeutic agents or radiation therapy such as external beam radiation), as a part of a treatment regimen.

Alternatively, CMC-544 treatment may be combined with other treatment regimens such as external beam radiation including conventional radiation, tumor vaccines, T-cell therapy, and anti-sense therapy as part of hematopoietic stem cell transplants (both autologous and allogeneic) and/or as part of a preparative regimen or stem cell purging treatment.

Established treatment regimens for the treatment of malignant lymphoproliferative disorders include CHOPP (cyclophosphamide, doxorubicin, vincristine, prednisone, and procarbazine), CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone), COP (cyclophosphamide, vincristine, and prednisone), CAP-BOP (cyclophosphamide, doxorubicin, procarbazine, bleomycin, vincristine, and prednisone), m-BACOD (methotrexate, bleomycin, doxorubicin, cyclophosphamide, vincristine, dexamethasone, and leucovorin), ProMACE-MOPP (prednisone, 25 methotrexate, doxorubicin, cyclophosphamide, etoposide, leucovorin, mechloethamine, vincristine, prednisone, and procarbazine), ProMACE-CytaBOM (prednisone, methotrexate, doxorubicin, cyclophosphamide, etoposide, leucovorin, cytarabine, bleomycin, and vincristine), MACOP-B (methotrexate, doxorubicin, 30

cyclophosphamide, vincristine, fixed dose prednisone, bleomycin, and leucovorin), MOPP (mechloethamine, vincristine, prednisone, and procarbazine), ABVD (adriamycin/doxorubicin, bleomycin, vinblastine, and dacarbazine), MOPP alternating with ABV- (adriamycin/doxorubicin, bleomycin, and vinblastine), and MOPP

5 (mechloethamine, vincristine, prednisone, and procarbazine) alternating with ABVD (adriamycin/doxorubicin, bleomycin, vinblastine, and dacarbazine), cyclophosphamide, epirubicin, vincristine and prednisone (CEOP) with or without bone marrow growth factor, granulocyte colony stimulating factor (G-CSF), FLAG (fludarabine, high-dose cytosine arabinoside (AraC), idarubicin with or without bone

10 marrow growth factor, granulocyte colony stimulating factor (G-CSF), and IDA, ATRA (all-trans retinoic acid), ChIVPP (chlorambucil, vinblastine, procarbazine, and prednisone), doxorubicin, vindesine, bleomycin and methotrexate (ACVB), cyclophosphamide, vincristine, dexamethasone, and doxorubicin (hyper-CVAD), La La chemotherapy regime and Hoelzers chemotherapy regime. Therapy may

15 comprise an induction therapy phase, a consolidation therapy phase and a maintenance therapy phase. CMC-544 may also be administered alone, concurrently, or sequentially with any of the above identified therapy regimens as a part of induction therapy phase, a consolidation therapy phase and a maintenance therapy phase.

20 The conjugates of the present invention may also be administered together with other bioactive such as growth factors, cytokines, steroids, and other B-cell depleting antibodies such as an anti-CD19 antibody, an anti-CD20 antibody, such as rituximab (Rituxan™), an anti-CD33 antibody, an anti-Lym antibody, and /or these antibodies labeled with cytotoxic moieties such as toxins and radiolabels, e.g.,

25 Zevalin™ (Ibritumomab Tiuxetan) (IDEC, San Diego, CA), Oncolym.RTM™ (Peregrine Pharmaceuticals, Tustin, CA) and Bexxar™ (Tositumomab and Iodine I 131 Tositumomab) (Ccorixa Corporation, Seattle, Washington), thalidomide and its homologs such as Revemid™, Actimid™ (Cellgene Corp., Warren, New Jersey) and other other immunomodulatory drugs (ImiDs), anti-angiogenic agents including

30 VEGF121/rGelolin, endostatin, VEGF-Trap (Regeneron), ONTAK (denileukin diftitox), rhuMAb-VEGF (Bevacizumab, avastatin), and chemotherapeutic agents as a part of combination chemotherapy regimen for the treatment of relapsed aggressive lymphomas. Such a treatment regimen includes IMVP-16 (ifosfamide, methotrexate,

and etoposide), MIME (methyl-gag, ifosfamide, methotrexate, and etoposide), DHAP (dexamethasone, high-dose cytarabine, and cisplatin), ESHAP (etoposide, methylprednisolone, high-dose cytarabine, and cisplatin), EPOCH (etoposide, vincristine, and doxorubicin for 96 hours with bolus doses of cyclophosphamide and oral prednisone), CEPP(B) (cyclophosphamide, etoposide, procarbazine, prednisone, and bleomycin), CAMP (lomustine, mitoxantrone, cytarabine, and prednisone), CVP-1 (cyclophosphamide, vincristine and prednisone), CHOP-B. (cyclophosphamide, doxorubicin, vincristine, prednisone, and Bleomycin), CEPP-B (cyclophosphamide, etoposide, procarbazine, and bleomycin), and P/DOCE (epirubicin or doxorubicin, vincristine, cyclophosphamide, and prednisone) Additional treatment regimens for aggressive lymphomas may include in phase 1 a first line of treatment with CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone)-rituximab (Rituxan™)-CMC-544, followed in phase 2 and phase 3 with CHOP-rituximab (Rituxan™), CHOP-CMC-544 or CHOP-rituximab (Rituxan™)-CMC-544. Alternatively, phase 1 may have a first line of treatment with COP (cyclophosphamide, vincristine, and prednisone)-rituximab (Rituxan™)-CMC-544, followed in phase 2 and phase 3 with COP-rituximab (Rituxan™), COP-CMC-544 or COP-rituximab (Rituxan™)-CMC-544. In a further embodiment, treatment of aggressive lymphomas may include a first or second line of treatment with the antibody drug conjugate CMC-544 in phase 1, followed in phase 2 and 3 with CMC-544 and CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone), CMC-544 and COP (cyclophosphamide, vincristine, and prednisone), CMC-544 with rituximab (Rituxan™) or rituximab (Rituxan™) alone. In yet another embodiment, the treatment of aggressive lymphomas may include a first or line of treatment with the antibody drug conjugate CMC-544 followed in phase 2 and 3 with CMC-544 alone or in combination with other treatment regimens including, but not limited to, ESHOP (etoposide, methylprednisolone, high-dose cytarabine, vincristine and cisplatin), EPOCH (etoposide, vincristine, and doxorubicin for 96 hours with bolus doses of cyclophosphamide and oral prednisone), IMVP-16 (ifosfamide, methotrexate, and etoposide), ASHAP (Adriamycin, solumedrol, Ara-C, and cisplatin), MIME (methyl-gag, ifosfamide, methotrexate, and etoposide) and ICE (ifosfamide, cyclophosphamide, and etoposide). Details of various cytotoxic drugs used in chemotherapy of malignancies including combination chemotherapeutic regimens,

dosages etc. that are provided in this application can be found in Cancer Principles and Practice of Oncology, Eds. Vincent T. DeVita, Samuel Hellman, Steven A. Rosenberg, 6<sup>th</sup> Edition, Publishers: Lippincott, Williams and Wilkins (2001) and Physician's Cancer Chemotherapy Drug Manual, Eds. Edward Chu and Vincent T. DeVita, Publishers: Jones and Bartlett, (2002).

Also encompassed are methods for treating B-cell malignancies comprising administering to a patient a therapeutically effective dose of CMC 544 alone or in combination with other bioactive agents and chemotherapeutic agents before, during or subsequent to a bone marrow or peripheral stem cell transplant. The antibody conjugate of the present invention may also be used in a method of reducing residual CD22<sup>+</sup> tumor cells in the bone marrow or stem cells before or after myeloablative therapy by administering to a patient a therapeutically effective dose of CMC544. It may also be possible to use such antibodies *in vitro* to induce apoptosis of tumor cells and reduce or cure bone marrow or stem cell preparations of residual tumor cells before they are infused back into the patient.

It should be understood that stem cell transplants may be allogeneic or autologous. If the transplant is allogeneic, the therapeutic regimen may include treatments with immunosuppressive drugs before administration of CMC-544. Coadministration of other drugs designed to enhance acceptance of the transplant and stimulate the production and differentiation of immune cells is also contemplated. For instance, it has been shown that administration of GM-CSF to marrow transplant recipients promotes the development of specific bone marrow cells which in turn produces circulating infection-fighting neutrophils, and increased survival rate of marrow recipients.

The present invention also provides a method of treating human or animal subjects suffering from or at risk of a proliferative disorder characterized by cells expressing CD22, the method comprising administering to the subject an effective amount of CMC-544 of the present invention.

The present invention is further described below in specific working examples, which are intended to further describe the invention without limiting its scope.

## EXAMPLE 1

## GENERATION OF CANDIDATE ANTIBODIES

A panel of antibodies against CD22 were selected from hybridomas using the following selection criteria: binding to Daudi cells, internalization on Daudi cells, binding to peripheral blood mononuclear cells (PBMC), internalization on PBMC, affinity (greater than  $10^{-9}$ M), mouse  $\gamma 1$  and production rate. 5/44 was selected as the preferred antibody.

## I. GENE CLONING AND EXPRESSION OF A CHIMERIC 5/44 ANTIBODY

## 10 MOLECULE

## a) Preparation Of 5/44 Hybridoma Cells And RNA Preparation Therefrom

Hybridoma 5/44 was generated by conventional hybridoma technology following immunization of mice with human CD22 protein. RNA was prepared from 5/44 hybridoma cells using a RNEasy kit (Qiagen, Crawley, UK; Catalogue No. 74106). The RNA obtained was reverse transcribed to cDNA, as described below.

## b) Distribution of CD22 on NHL Tumors

An immunohistochemistry study was undertaken to examine the incidence and distribution of staining using the 5/44 anti-CD22 monoclonal antibodies. Control anti-CD20 and anti-CD79a antibodies were included in the study to confirm B cell areas of tumors.

A total of 50 tumors were studied and these were categorized as follows by using the Working Formulation and REAL classification systems:

- 7 B lymphoblastic leukemia/lymphoma (High/I)
- 4 B-CLL/small lymphocytic lymphoma (Low/A)
- 25 ◦ 3 lymphoplasmacytoid/Immunocytoma (Low/A)
- 1 Mantle cell (Int/F)
- 14 Follicle center lymphoma (Low to Int/D)
- 13 Diffuse large cell lymphoma (Int to High/G,H)
- 6 Unclassifiable (K)
- 30 ◦ 2 T cell lymphomas

Forty B cell lymphomas were positive for CD22 antigen with the 5/44 antibody at 0.1  $\mu$ g/ml and a further six became positive when the concentration was increased to 0.5  $\mu$ g/ml. For the remaining two B cell tumors that were negative at 0.1  $\mu$ g/ml,

there was insufficient tissue remaining to test at the higher concentration. However, parallel testing with another anti-CD22 antibody designated 6/13 (Celltech, Slough, UK), which gave stronger staining than 5/44, resulted in all 48 B cell lymphomas staining positive for CD22.

- 5           Thus, it is possible to conclude that the CD22 antigen is widely expressed on B cell lymphomas and therefore provides a suitable target for immunotherapy in NHL.

c) PCR Cloning of 5/44 V<sub>H</sub> and V<sub>L</sub>

- cDNA sequences coding for the variable domains of 5/44 heavy and light chains were synthesized using reverse transcriptase to produce single stranded  
10 cDNA copies of the mRNA present in the total RNA. This was then used as the template for amplification of the murine V-region sequences using specific oligonucleotide primers by the Polymerase Chain Reaction (PCR).

i) cDNA Synthesis

- cDNA was synthesized in a 20 µl reaction volume containing the  
15 following reagents: 50mM Tris-HCl pH 8.3, 75 mM KCl, 10 mM dithiothreitol, 3 mM MgCl<sub>2</sub>, 0.5 mM of dATP, dTTP, dCTP, and dGTP, 20 units RNasin, 75 ng random hexanucleotide primer, 2 µg 5/44 RNA and 200 units Moloney Murine Leukemia Virus reverse transcriptase. After incubation at 42°C for 60 minutes, the reaction was terminated by heating at 95°C for 5 minutes.

20           ii) PCR

- Aliquots of the cDNA were subjected to PCR using combinations of primers specific for the heavy and light chains. Degenerate primer pools designed to anneal with the conserved sequences of the signal peptide were used as forward primers. These sequences all contain, in order, a restriction site (V<sub>L</sub> SfuI; V<sub>H</sub> HindIII)  
25 starting 7 nucleotides from their 5' ends, the sequence GCCGCCACC (SEQ ID NO:50), to allow optimal translation of the resulting mRNAs, an initiation codon and 20-30 nucleotides based on the leader peptide sequences of known mouse antibodies (Kabat *et al.*, Sequences of Proteins of Immunological Interest, 5<sup>th</sup> Edition, 1991, U.S. Department of Health and Human Services, Public Health Service, National Institutes of  
30 Health).

The 3' primers are designed to span the framework 4 J-C junction of the antibody and contain a restriction site for the enzyme BsiWI to facilitate cloning of the V<sub>L</sub> PCR fragment. The heavy chain 3' primers are a mixture designed to span the J-C

junction of the antibody. The 3' primer includes an Apal restriction site to facilitate cloning. The 3' region of the primers contains a mixed sequence based on those found in known mouse antibodies (Kabat *et al.*, 1991, *supra*).

5 The combinations of primers described above enable the PCR products for  $V_H$  and  $V_L$  to be cloned directly into an appropriate expression vector (see below) to produce chimeric (mouse-human) heavy and light chains and for these genes to be expressed in mammalian cells to produce chimeric antibodies of the desired isotype.

10 Incubations (100  $\mu$ l) for the PCR were set up as follows. Each reaction contained 10 mM Tris-HCl pH 8.3, 1.5 mM  $MgCl_2$ , 50 mM KCl, 0.01% w/v gelatin, 0.25 mM of dATP, dTTP, dCTP, and dGTP, 10 pmoles 5' primer mix, 10 pmoles 3' primer, 1  $\mu$ l cDNA and 1 unit Taq polymerase. Reactions were incubated at 95°C for 5 minutes and then cycled through 94°C for 1 minute, 55°C for 1 minute and 72°C for 1 minute. After 30 cycles, aliquots of each reaction were analyzed by electrophoresis on an agarose gel.

15 For the heavy chain V-region, an amplified DNA product was only obtained when a primer pool annealing within the start of framework I replaced the signal peptide primer pool. The fragments were cloned into DNA sequencing vectors. The DNA sequence was determined and translated to give a deduced amino acid sequence. This deduced sequence was verified by reference to the N-terminal  
20 protein sequence determined experimentally. Figure 1 shows the amino acid sequence of the CDRs of the mouse monoclonal antibody 5/44. Figures 2 and 3 shows the DNA/protein sequence of the mature light and heavy chain V-regions of mouse monoclonal 5/44, respectively.

### iii) Molecular Cloning of the PCR Fragments

25 The murine V-region sequences were then cloned into the expression vectors pMRR10.1 and pMRR14 (Figures 7 and 8). These are vectors for the expression of light and heavy chain containing DNA encoding constant regions of human kappa light chain and human gamma-4 heavy chain. The  $V_L$  region was sub-cloned into the expression vector by restriction digest and ligation from the  
30 sequencing vector, using SfuI and BsiWI restriction sites, creating plasmid pMRR10(544cL) (Figure 8). The heavy chain DNA was amplified by PCR using a 5' primer to introduce a signal peptide, since this was not obtained in the cloning strategy – a mouse heavy chain antibody leader from a different in-house hybridoma

(termed 162) was employed. The 5' primer had the following sequence:  
 5'GCGCGCAAGCTTGCCGCCACCATGGACTTCGGATTCTCTCTCGTGTTCTGG  
 CACTCATTCTCAAGGGAGTGCAGTGTGAGGTGCAGCTCGTCGAGTCTGG3'  
 (SEQ ID NO:51).

5        The reverse primer was identical to that used in the original V<sub>H</sub> gene cloning.  
 The resultant PCR product was digested with enzymes HindIII and Apal, was sub-  
 cloned, and its DNA sequence was confirmed, creating plasmid pMRR14(544cH)  
 (Figure 7). Transient co-transfection of both expression vectors into CHO cells  
 generated chimeric c5/44 antibody. This was achieved using the Lipofectamine  
 10 reagent according to the manufacturer's protocols (Invitrogen:Life Technology,  
 Groningen, The Netherlands. Catalogue no. 11668-027).

## II. REMOVAL OF GLYCOSYLATION SITE AND REACTIVE LYSINE

15        A potential N-linked glycosylation site sequence was observed in CDR-H2,  
 having the amino acid sequence N-Y-T (Figure 3). SDS-PAGE, Western blotting and  
 carbohydrate staining of gels of 5/44 and its fragments (including Fab) indicated that  
 this site was indeed glycosylated (not shown). In addition, a lysine residue was  
 observed at an exposed position within CDR-H2, which had the potential to reduce  
 the binding affinity of the antibody by providing an additional site for conjugation with  
 20 an agent with which the antibody may be conjugated.

A PCR strategy was used to introduce amino acid substitutions into the CDR-  
 H2 sequence in an attempt to remove the glycosylation site and/or the reactive  
 lysine, as shown in Figure 4. Forward primers encoding the mutations N55Q, T57A  
 or T57V were used to remove the glycosylation site (Figure 4) and a fourth forward  
 25 primer containing the substitution K60R, was generated to remove the reactive lysine  
 residue (Figure 4). A framework 4 reverse primer was used in each of these PCR  
 amplifications. The PCR products were digested with the enzymes XbaI and Apal  
 and were inserted into pMRR14(544cH) (also cleaved with XbaI and Apal) to  
 generate expression plasmids encoding these mutants. The N55Q, T57A and T57V  
 30 mutations ablate the glycosylation site by changing the amino acid sequence away  
 from the consensus N-X-T/S while the K60R mutation replaces the potentially  
 reactive lysine with the similarly positively charged residue arginine. The resultant



cH variant plasmids were co-transfected with the cL plasmid to generate expressed chimeric antibody variants.

### III. EVALUATION OF ACTIVITIES OF CHIMERIC GENES

5           The activities of the chimeric genes were evaluated following transient transfection into CHO cells and determination of affinity constants by BiaCore analysis

10           The affinities of chimeric 5/44 or its variants, which have had their glycosylation site or their reactive lysine removed, were investigated using BIA technology for binding to CD22-mFc constructs. The results are shown in Figure 9. All binding measurements were performed in the BIAcore™ 2000 instrument (Pharmacia Biosensor AB, Uppsala, Sweden). The assay was performed by capture of CD22mFc via the immobilized anti-mouse Fc. The antibody was in the soluble phase. Samples, standard, and controls (50µl) were injected over immobilized anti-  
15   mouse Fc followed by antibody in the soluble phase. After each cycle, the surface was regenerated with 50µl of 40mM HCl at 30µl/min. The kinetic analysis was performed using the BIAevaluation 3.1 software (Pharmacia).

          Removal of the glycosylation site in construct T57A resulted in a slightly faster on-rate and a significantly slower off-rate compared to the chimeric 5/44, giving an  
20   affinity improvement of approximately 5-fold. The N55Q mutation had no effect on affinity. This result was unexpected as it suggests that the removal of the carbohydrate itself apparently has no effect on binding (as with the N55Q change). The improved affinity was observed only with the T57A change. One possible explanation is that, regardless of the presence of carbohydrate, the threonine at  
25   position 57 exerts a negative effect on binding that is removed on conversion of threonine to alanine. The hypothesis that the small size of alanine is important, and that the negative effect of threonine is related to its size, is supported from the result obtained using the T57V mutation: that replacement with valine at position 57 is not beneficial (results not shown).

30           Removal of the lysine residue by the K60R mutation had a neutral effect on affinity, i.e. the introduction of the arginine residue removes a potential reactive site without compromising affinity.

The mutations for removal of the glycosylation site and for removal of the reactive lysine were therefore both included in the humanization design.

## EXAMPLE 2

### CDR-GRAFTING OF 5/44

5 The molecular cloning of genes for the variable regions of the heavy and light chains of the 5/44 antibody and their use to produce chimeric (mouse/human) 5/44 antibodies has been described above. The nucleotide and amino acid sequences of the mouse 5/44 V<sub>L</sub> and V<sub>H</sub> domains are shown in Figures 2 and 3 (SEQ ID NOS:7 and 8), respectively. This example describes the CDR-grafting of the 5/44 antibody onto human frameworks to reduce potential immunogenicity in humans, according to the method of Adair *et al.*, (PCT application No. WO91/09967).

#### I. CDR-GRAFTING OF 5/44 LIGHT CHAIN

15 Protein sequence alignment with consensus sequences from human sub-group I kappa light chain V region indicated 64% sequence identity. Consequently, for constructing the CDR-grafted light chain, the acceptor framework regions chosen corresponded to those of the human VK sub-group I germline O12,DPK9 sequence. The framework 4 acceptor sequence was derived from the human J-region germline sequence JK1.

20 A comparison of the amino acid sequences of the framework regions of murine 5/44 and the acceptor sequence is given in Figure 5 and shows that there are 27 differences between the donor and acceptor chains. At each position, an analysis was made of the potential of the murine residue to contribute to antigen binding, either directly or indirectly, through effects on packing or at the V<sub>H</sub>/V<sub>L</sub> interface. If a murine residue was considered important and sufficiently different from the human residue in terms of size, polarity or charge, then that murine residue was retained. Based on this analysis, two versions of the CDR-grafted light chain, having the sequences given in SEQ ID NO:19 and SEQ ID NO:20 (Figure 5), were constructed.

30

#### II. CDR-GRAFTING OF 5/44 HEAVY CHAIN

CDR-grafting of the 5/44 heavy chain was accomplished using the same strategy as described for the light chain. The V-domain of the 5/44 heavy chain was

found to be homologous to human heavy chains belonging to sub-group I (70% sequence identity) and therefore the sequence of the human sub-group I germline framework VH1-3,DP7 was used as an acceptor framework. The framework 4 acceptor sequences were derived from human J-region germline sequence JH4.

5           A comparison of the 5/44 heavy chain with the framework regions is shown in Figure 6 where it can be seen that the 5/44 heavy chain differs from the acceptor sequence at 22 positions. Analysis of the contribution that any of these might make to antigen binding led to 5 versions of the CDR-grafted heavy chains being constructed, having the sequences given in SEQ ID NO:23, SEQ ID NO:24, SEQ ID  
10 NO:25, SEQ ID NO:26 and SEQ ID NO:27 (Figure 6).

### III. CONSTRUCTION OF GENES FOR GRAFTED SEQUENCES

Genes were designed to encode the grafted sequences gH1 and gL1, and a series of overlapping oligonucleotides were designed and constructed (Figure 10). A  
15 PCR assembly technique was employed to construct the CDR-grafted V-region genes. Reaction volumes of 100 µl were set up containing 10 mM Tris-HCl pH8.3, 1.5 mM MgCl<sub>2</sub>, 50 mM KCl, 0.001 % gelatin, 0.25 mM of dATP, dTTP, dCTP, and dGTP, 1 pmole each of the 'internal' primers (T1, T2, T3, B1, B2, B3), 10 pmole each of the 'external' primers (F1, R1), and 1 unit of Taq polymerase (AmpliTaq, Applied  
20 BioSystems, catalogue no. N808-0171). PCR cycle parameters were 94 °C for 1 minute, 55 °C for 1 minute and 72 °C for 1 minute, for 30 cycles. The reaction products were then run on a 1.5 % agarose gel, excised and recovered using QIAGEN spin columns (QIAquick gel extraction kit, cat no. 28706). The DNA was eluted in a volume of 30 µl. Aliquots (1 µl) of the gH1 and gL1 DNA were then  
25 cloned into the InVitrogen TOPO TA cloning vector pCR2.1 TOPO (catalogue no. K4500-01) according to the manufacturer's instructions. This non-expression vector served as a cloning intermediate to facilitate sequencing of a large number of clones. DNA sequencing using vector-specific primers was used to identify correct clones containing gH1 and gL1, creating plasmids pCR2.1 (544gH1) and pCR2.1(544gL1)  
30 (Figures 11 and 12).

An oligonucleotide cassette replacement method was used to create the humanized grafts gH4, 5, 6 and 7, and gL2. Figure 13 shows the design of the oligonucleotide cassettes. To construct each variant, the vector pCR2.1(544gH1) or

pCR2.1(544gL1)) was cut with the restriction enzymes shown (XmaI/SacII for the heavy chain, XmaI/BstEII for the light chain). The large vector fragment was gel purified from agarose and was used in ligation with the oligonucleotide cassette. These cassettes are composed of 2 complementary oligonucleotides (shown in Figure 13), mixed at a concentration of 0.5 pmoles/ $\mu$ l in a volume of 200  $\mu$ l 12.5 mM Tris-HCl pH 7.5, 2.5 mM MgCl<sub>2</sub>, 25 mM NaCl, 0.25 mM dithioerythritol. Annealing was achieved by heating to 95 °C for 3 minutes in a water bath (volume 500 ml) then allowing the reaction to slow-cool to room temperature. The annealed oligonucleotide cassette was then diluted ten-fold in water before ligation into the appropriately cut vector. DNA sequencing was used to confirm the correct sequence, creating plasmids pCR2.1 (5/44-gH4-7) and pCR2.1 (5/44-gL2). The verified grafted sequences were then sub-cloned into the expression vectors pMRR14 (heavy chain) and pMR10.1 (light chain).

#### IV. CD22 BINDING ACTIVITY OF CDR-GRAFTED SEQUENCES

The vectors encoding grafted variants were co-transfected into CHO cells in a variety of combinations, together with the original chimeric antibody chains. Binding activity was compared in a competition assay, competing the binding of the original mouse 5/44 antibody for binding to Ramos cells (obtained from ATCC, a Burkitt's lymphoma lymphoblast human cell line expressing surface CD22). This assay was considered the best way to compare the grafts in their ability to bind to cell surface CD22. The results are shown in Figures 14 and 15. As can be seen, there is very little difference between any of the grafts, all performed more effectively than the chimeric at competing against the murine parent. The introduction of the 3 additional human residues at the end of CDR-H3 (gH5 and gH7) did not appear to have affected binding.

The graft combination with the least number of murine residues gL1gH7 was selected. The light chain graft gL1 has 6 donor residues. Residues V2, V4, L37 and Q45 are potentially important packing residues. Residue H38 is at the V<sub>H</sub>/V<sub>L</sub> interface. Residue D60 is a surface residue close to the CDR-L2 and may directly contribute to antigen binding. Of these residues, V2, L37, Q45 and D60 are found in germline sequences of human kappa genes from other sub-groups. The heavy chain graft gH7 has 4 donor framework residues (Residue R28 is considered to be part of

CDR-H1 under the structural definition used in CDR-grafting (see Adair *et al.* (1991), PCT application No. WO91/09967)). Residues E1 and A71 are surface residues close to the CDRs. Residue I48 is a potential packing residue. Residue T93 is present at the V<sub>H</sub>/V<sub>L</sub> interface. Of these residues, E1 and A71 are found in other  
5- germline genes of human sub-group I. Residue I48 is found in human germline sub-group 4, and T73 is found in human germline sub-group 3.

The full DNA and protein sequence of both the light chain and heavy chain, including approximate position of introns within the constant region genes provided by the vectors, are shown in Figure 16 and are given in SEQ ID NO:29 and SEQ ID  
10 NO:28, respectively, for the light chain and SEQ ID NO: 31 and SEQ ID NO:30, respectively, for the heavy chain.

DNA encoding these light and heavy chain genes was excised from these vectors. Heavy chain DNA was digested at the 5' HindIII site, then was treated with the Klenow fragment of *E. coli* DNA polymerase I to create a 5' blunt end. Cleavage  
15 at the 3' EcoRI site resulted in the heavy chain fragment, which was purified, from agarose gels. In the same way, a light chain fragment was produced, blunted at the 5' SfuI site and with a 3' EcoRI site. Both fragments were cloned into DHFR based expression vectors and used to generate stable cell lines in CHO cells.

20

### EXAMPLE 3

#### CONJUGATION OF NAc-GAMMA CALICHEAMICIN DMH ACBUT TO HUMANIZED ANTI-CD22 ANTIBODY (G5/44)

In a typical conjugation reaction, humanized anti-CD22 antibody (G5/44) was conjugated to NAc-gamma calicheamicin DMH AcBut OSu (calicheamicin derivative)  
25 (see Figure 17), where the target protein concentration was 7.5 mg/ml and the target calicheamicin derivative loading was 8.5 percent by weight of the protein. The target reaction pH was  $8.5 \pm 0.2$ , and the target concentrations of the other reaction components were as follows: 50 mM N-(2-Hydroxyethyl)piperazine-N'-(4-butanesulfonic acid) (HEPBS), 37.5 mM sodium decanoate, and 9% v/v total ethanol.  
30 The reaction was conducted at  $33^\circ \pm 2^\circ\text{C}$  for one hour. Results of the analysis of this typical reaction prior to purification were as follows: Protein: 7.34 mg/ml; Calicheamicin Loading: 82.7  $\mu\text{g}/\text{mg}$ ; Aggregate: 93.25%; and Unconjugated Protein (LCF): 1.07 % (UV Area % by HPLC).

Effect of various surfactant additives and their concentrations on product yield and purity were tested to determine their effect on the production of conjugated monomer (see Table 2). Reactions were run where everything was held constant except for the additive and its concentration. The conjugates produced from these reactions were analyzed for protein concentration, calicheamicin loading, aggregate content, and LCF. Although all n-carboxylic acids in the range of C<sub>6</sub> (hexanoate) to C<sub>12</sub> (dodecanoate) gave acceptable results, the best overall results (low LCF, low aggregate, and high recovery of monomeric conjugate) were obtained with decanoate in a concentration range of 30 mM to 50 mM.

TABLE 2: EFFECT OF ADDITIVE IDENTITY AND CONCENTRATION ON CONJUGATION RESULTS

Additive/concentration	Protein Recovery (% recovery)	Percent Aggregate	Percent LCF
Hexanoate-500mM	51.3	3.36	38.3
Heptanoate-400mM	49.9	4.7	20.6
Octanoate-200mM	57.3	3.27	10.6
Nanonoate-100mM	54.7	1.41	0.3
Decanoate-50mM	56.7	1.35	0.2
Undecanoate-20mM	46.9	2.95	0.6
Dodecanoate-5mM	65.6	0.78	7.0

#### EXAMPLE 4

##### CHROMATOGRAPHIC PURIFICATION PROCESS

##### I. CHROMATOGRAPHIC SEPARATION PROCESSES

Although Butyl Sepharose 4 Fast Flow was identified as the best HIC media, acceptable results can be obtained with slight alterations in the chromatographic conditions using other resins such as Octyl Sepharose Fast Flow, PPG-600C (Tosoh Biosep), Fractogel EMD Propyl (EM Processing) and Source 15ISO (Amersham Biosciences, Piscataway, NJ).

The starting material for the purification was a conjugation reaction mixture containing 7.2 mg/mL protein at a calicheamicin derivative loading of 83 µg/mg, with

an aggregate content of 10.1% (area percent by HPLC), and an LCF content of 5.6% (area percent by HPLC)

After the conjugation reaction was completed, the reaction mixture was diluted four-fold by the addition of potassium phosphate solution to a final phosphate concentration of 0.7 M (pH 8.2). After mixing, this solution was filtered through 0.45-micron filters. The diluted solution was loaded on a Butyl Sepharose 4 Fast Flow column. The total amount of protein loaded on the column was 29 mg per ml bed volume. After a wash with 0.7 M potassium phosphate, the column was eluted using a step gradient from 0.7 M to 4 mM potassium phosphate, pH 8.2. The fractions eluted in the step gradient were pooled for further processing, with the pool consisting of monomeric conjugate with less than 1 area percent each of aggregate and LCF. This pool was loaded on a Sephadex G-25 (Amersham Biosciences) desalting column for exchange to a buffer appropriate for formulation, consisting of 20 mM Tris-Cl and 100 mM sodium chloride at pH 8.0. The purified, buffer-exchanged CMC-544 preparation had the following properties: Calicheamicin Loading: 81 µg/mg; Aggregate: 0.4% (area percent by HPLC) LCF: 0.8% (area percent by HPLC).

#### EXAMPLE 5

##### BINDING ANALYSIS OF NAC-GAMMA CALICHEAMICIN DMH ACBUT-G5/44 IMMUNOCONJUGATE (CMC-544)

Immunoconjugate of humanized anti-CD22 antibody (G5/44) with calicheamicin (CMC-544) generated by the above conjugation process was analyzed in a binding study to determine whether the conjugate generated using the improved process had any adverse effect on antigen binding. Table 3 shows that the conjugation procedure does not have any impact on the antigen binding affinity of the antibody. CMC-544 immunoconjugate made by either the old or new conjugation procedure bound the target antigen with similar affinities, which did not differ from that of the unconjugated antibody G5/44.

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TABLE 3: BINDING AFFINITIES OF CMC-544 MADE BY USING CMA-676 AND CMC-544 CONJUGATION PROCEDURES

Anti-CD22 antibody	$K_D$ (M)	$K_A$ (1/M)	$k_d$ (1/s)	$k_a$ (1/Ms)	Percent LCF
Humanized G5/44	$1.30 \times 10^{-10}$	$7.90 \times 10^9$	$2.80 \times 10^{-5}$	$2.20 \times 10^5$	100
CMC-544 (21 $\mu$ g/mg) (CMA-676 procedure)	$1.20 \times 10^{-10}$	$8.10 \times 10^9$	$6.10 \times 10^{-5}$	$4.90 \times 10^5$	25
CMC-544 (87 $\mu$ g/mg) (CMC-544 procedure)	$1.50 \times 10^{-10}$	$6.60 \times 10^9$	$6.90 \times 10^{-5}$	$4.60 \times 10^5$	3.3

Biosensor analyses were carried out using a BIAcore 2000 (BIAcore AB, Uppsala, Sweden). CD22mFc was covalently immobilized on the N-hydroxysuccinimide-activated carboxymethyl dextran-coated biosensor chip (CM5) using a standard amine-coupling chemistry at a protein density of approximately 2000 resonance units. Samples of CMC-544 or G5/44 were diluted in the HBS buffer (10 mM HEPES, pH 7.4, containing 150 mM NaCl, 3 mM EDTA and 0.005% polysorbate 20 (v/v)) and injected in the concentration range of 1 to 100 nM over the CD22mFc-coated biosensor chip surface at a flow rate of 30  $\mu$ l/min for 3 min to allow binding. After the binding phase, dissociation of the bound antibody was monitored by washing the chip with the HBS buffer over a 15 minute period. The antigenic surface was regenerated by washing the Biosensor chip with 15  $\mu$ l of the regeneration buffer (10 mM NaOH and 200 mM NaCl) for 30 seconds, followed by a stabilization time of 2 minutes before the next cycle. Kinetic constants were calculated by nonlinear least square regression analysis using a 1:1 Langmuir binding curve fitting model and BIAevaluation program (version 3.0, BIAcore). The antigen binding of CMC-544 was evaluated by surface plasmon resonance analysis using CD22mFc covalently immobilized on a biosensor chip. The results of kinetic analyses of the binding of CMC-544 and G5/44 to CD22mFc show that, after the data were fitted globally to a 1:1 Langmuir binding model with compensation for mass transfer, both CMC-544 and unconjugated G544 bound CD22 with a similar affinity (CMC-544:CD22  $K_D$  = 200 pM; G5/44:CD22  $K_D$  = 235 pM). Conjugation to calicheamicin did not impact the ability of G5/44 to effectively bind CD22mFc.

The binding of CMC-544 and G5/44 to CD22 expressed on the surface of B lymphoma cells was also examined by flow cytometry. Anti-CD33 mAb gemtuzumab



(hP67.6) and its calicheamicin conjugate CMA-676 (gemtuzumab ozogamicin) were used as isotype-matched controls in this evaluation. Rituximab (Rituxan™), a chimeric human IgG1 anti-human CD20 mAb, was used as a positive control. Purified human polyclonal IgG1 and IgG4 were also used as negative controls.

5 Binding of CMC-544 and G5/44 to CD22 on Ramos or RL BCL was similar and distinguishable from that of human polyclonal IgG4. RL BCL displayed lower surface expression of CD22 than Ramos BCL. In contrast, the binding of CMA-676 or gL1gH7 to either BCL was similar to that of human polyclonal IgG4 consistent with their lack of expression of CD33 (data not shown). The same cells demonstrated

10 strong binding of anti-CD20 rituximab (Rituxan™). Unlike hP67.6 and CMA-676, neither CMC-544 nor G5/44 demonstrated any binding to CD22<sup>+</sup> CD33<sup>+</sup> HL-60 leukemia cells (data not shown). These results suggest that the conjugation of G5/44 to calicheamicin does not affect its antigen specificity. CMC-544 specifically recognizes CD22 on human B cells, but not on murine, rat, canine, porcine or

15 primate (cynomolgus and rhesus) B cells (data not shown).

#### EXAMPLE 6

##### ANALYSIS OF *IN VITRO* AND *IN VIVO* EFFECTS OF CMC-544

###### I. *IN VITRO* CYTOTOXICITY

20 The effect of CMC-544 made by using CMA-676 and CMC-544 processes on the *in vitro* growth of CD22<sup>+</sup> B-Cell lymphoma cell lines, RL, Daudi, Raji and Ramos, were compared. An isotype-matched calicheamicin conjugate targeted at human CD33 (CMA-676) was used to reflect antigen-non-specific effects of the conjugate. The use of unconjugated N-Ac gamma calicheamicin DMH (the drug released from the

25 conjugate upon acid hydrolysis) in this evaluation indicated that each of these cell lines used was sensitive to the lethal effects of calicheamicin. Table 4 shows the results of these evaluations based on the calicheamicin equivalence and Table 5 shows these results expressed as the concentrations of conjugated antibody protein. CD22-mediated delivery of calicheamicin to the CD22<sup>+</sup> cells was at least 10 times

30 more efficient in killing the target cells than the unconjugated drug itself. The isotype-matched control conjugate (CMA-676) showed cytotoxicity that was either less than or similar to the unconjugated calicheamicin derivative. It is apparent from Table 4 that conjugate made by the CMC-544 conjugation process can generate equivalent

cytotoxic effect at lower antibody concentrations than conjugate made by the CMA-676 conjugation process.

TABLE 4: GROWTH INHIBITION BY CONJUGATED CALICHEAMICIN

(IC<sub>50</sub> Pm Of Calicheamicin)

B-CELL LYMPHOMA LINES	CMC-544 PROCESS CMC-544 LOADING: 65 µG/MG	CMA-676 PROCESS CMC-544 LOADING: 35 µG/MG	NEGATIVE CONTROL CMA-676 LOADING: 35 µG/MG	N-ACETYL GAMMA CALICHEAMICIN DMH
RL #1	6	30	600	226
#2	12	40	400	270
Daudi #1	21	80	1886	260
Raji #1	500	ND*	2800	460
#2	560	520	4100	490
Ramos #1	200	130	ND	700
#2	260	ND	ND	1000

5

\*ND, not determined

TABLE 5. GROWTH INHIBITION BY CONJUGATED ANTIBODY

(IC<sub>50</sub> µg/ML OF ANTIBODY)

B-CELL LYMPHOMA LINES	CMC-544 PROCESS CMC-544 LOADING: 65 µG/MG	CMA-676 PROCESS CMC-544 LOADING: 35 µG/MG	NEGATIVE CONTROL CMA-676 LOADING: 35 µG/MG	ANTIBODY CONTROL G5/44
RL #1	0.09	0.86	17.14	>100
#2	0.18	1.14	11.43	>100
Daudi #1	0.32	2.29	53.89	>100
Raji #1	7.69	ND*	80.00	>100
#2	8.62	14.86	117.14	>100
Ramos #1	3.08	3.71	ND	>100
#2	4.00	ND	ND	>100

\*ND, not determined

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*In Vivo* Cytotoxicity. CMC-544 made by the CMC-544 process was further evaluated in B-cell lymphoma xenografts. In these studies, two B-cell lymphoma tumors, RAMOS and RL, were used. RL lymphoma is a non-Burkitt's NHL-derived cell-line whereas RAMOS was originally derived from a Burkitt's lymphoma. In a  
5 representative experiment shown in Figure 18, CMC-544 and its murine antibody counterpart were shown to be efficacious in inhibiting, in a dose-dependent manner, the growth of RAMOS B-cell lymphoma.

The conjugate of the humanized antibody was shown to be more potent than its murine counterpart. In this study, the lowest dose of calicheamicin conjugate  
10 capable of causing significant growth inhibition of lymphoma was 10 µg/kg of conjugated NAc-gamma calicheamicin DMH. In contrast, the unconjugated antibody, G5/44, at 10 mg/Kg administered intraperitoneal on a similar schedule as conjugates had no effect on tumor growth.

Similar studies were carried out using the RL lymphoma model. Table 6  
15 shows the combined analyses of three independent experiments in which the anti-tumor effects of CMC-544 were assessed on RL NHL tumors staged to 300-400 mg in size in nude mice. CMC-544 in a dose-dependent manner caused tumors to regress over a 3-week time frame. The minimally effective dose of CMC-544 in the RL lymphoma model was established from statistical analyses of these studies to be  
20 20 µg/kg based on calicheamicin content. There were no deaths in any of these three studies. Higher doses (60-320 µg/kg) of CMC-544 caused almost complete regression of RL lymphoma. Taken together, the results obtained with the two B-cell lymphoma models clearly demonstrate the capability of CMC-544 to cause tumor regression.

TABLE 6: ANTI-TUMOR EFFECT OF CMC-544 AGAINST RL NHL XENOGRAPTS  
IN NUDE MICE

CALICHEAMICIN DOSE $\mu$ G/KG	MEAN RELATIVE TUMOR GROWTH <sup>1</sup>	% T/C <sup>2</sup>	P-VALUE VS VEHICLE <sup>3</sup>
Vehicle	6.74	-	-
20	2.87	43	0.011
40	1.34	20	<0.001
60	0.58	9	<0.001
80	0.54	8	<0.001
160	0.21	3	<0.001
320	0.10	1	<0.001

<sup>1</sup> Relative tumor growth (RTG) computed as (tumor mass at Week 3/ tumor mass on Day 1) for each animal.  
<sup>2</sup>  $100 \times (\text{mean RTG for CMC-544 dose} / \text{mean RTG for the vehicle group})$   
<sup>3</sup> p-value from one-sided t-test comparison of CMC-544 vs. vehicle, using rank-transformed RTG as the response variable. Error term for all t-tests based on the pooled variance,  $s^2$ , across all treatment groups ( $s^2=154.54$ ).

- The ability of CMC-544 made by the new procedure to inhibit growth of large established B-cell lymphoma xenografts using both the RAMOS and RL lymphoma models was also investigated. The tumors were allowed to grow and staged to 1.5 or 2 g of tumor mass after which CMC-544 or an isotype-matched negative control conjugate (CMA-676) were administered intraperitoneally at the dose of 160  $\mu$ g/Kg of conjugated calicheamicin keeping the original schedule of dosing on days 1, 5 and 9.
- The same schedule of dosing was shown earlier to cause long lasting regression of small staged tumors (see Table 6). As shown in Figure 19, administration of CMC-544 to large RAMOS lymphoma-bearing mice caused gradual regression of the preexisting lymphoma mass and by day 20, 3 out of 4 tumor-bearing mice were tumor-free. Monitoring these tumor-freed mice up to day 50 did not indicate any re-growth of regressed RAMOS lymphoma. In contrast, an isotype matched control, CMA-676, had no effect on the tumor growth. Four out of five CMA-676-treated large tumor-bearing mice had to be sacrificed before day 15 because their tumor burden reached close to 15% of their body weight.

A similar experiment using CMC-544 was carried out in the RL lymphoma model. Intraperitoneal administration of CMC-544 at a dose of 160  $\mu\text{g/kg}$  on a similar schedule as described before caused >90% regression of the pre-existing mass of RL lymphoma within 30 days. However by day 45, 2 mice in this group with  
5 shrunken lymphomas showed re-growth of the tumors. These results indicate that CMC-544 is able to cause regression of small, as well as large, established lymphomas. In a small number of studies not shown here, RL lymphomas that re-grew sporadically after the initial CMC-544-induced regression were retreated with CMC-544 again. These studies showed that the RL tumors were still responsive to  
10 the second course of the treatment with CMC-544 and regressed again. Thus, the treatment with CMC-544 can be effective against both small and large masses of B-cell lymphomas with the potential for repeat therapy.

## II. *IN VIVO* COMPARISON OF CONJUGATE MADE WITH CMA-676 AND CMC- 15 544 CONJUGATION PROCESSES

Figure 20 shows the results of a representative experiment in which staged RL lymphoma-bearing mice received two different doses (80 and 320  $\mu\text{g/kg}$  of conjugated calicheamicin) of CMC-544 made using the CMA-676 conjugation process and the CMC-544 conjugation process using the standard dosing schedule.  
20 The observed anti-tumor efficacy was dose-dependent as expected and there was no difference in the efficacies of either of the two CMC-544 preparations. In contrast, unconjugated N Acetyl-gamma calicheamicin DMH administered intraperitoneally at 160  $\mu\text{g/kg}$  was inactive. However, it should be emphasized that for each dose of conjugated calicheamicin, the quantity of antibody protein administered in the form of  
25 a conjugate was four times higher for CMC-544 made by the CMA-676 process versus that made by the CMC-544 process. Since the calicheamicin content of the targeted conjugate is primarily responsible for causing the anti-tumor effect, it is possible to deliver the required quantity of calicheamicin via the conjugate made by the new procedure using much smaller quantities of the targeting antibody. The  
30 increased loading of the conjugate made by the CMC-544 process is, in effect, due to the lack of significant amounts of the low conjugated fraction (LCF).

### III. TREATMENT OF RITUXIMAB (RITUXAN™)-RESISTANT TUMORS

The next question to be explored was whether the B-cell lymphomas grown after the discontinuation of the commercially available, anti-CD20 rituximab (Rituxan™) treatment would still be responsive to the CMC-544 treatment. To this end, developing (unstaged) RL lymphomas were treated with rituximab (Rituxan™) for three weeks. As long as the rituximab (Rituxan™) therapy was continued, the growth of RL lymphoma was inhibited. Upon cessation of rituximab (Rituxan™) therapy, RL lymphomas grew rapidly to the size of ~1 g mass at which time they were treated with CMC-544 at the intraperitoneal dose of 160 µg/Kg. As shown in Figures 21 and 22, these RL lymphomas were still responsive to CMC-544 with 80% of mice becoming tumor-free by day 60. Thus, CMC-544 is able to cause regression of B-cell lymphomas with three doses that could only be inhibited by the continuous dosing of rituximab (Rituxan™).

### EXAMPLE 8

#### IN VITRO AND IN VITRO EFFECT OF CMC-544

##### I. BINDING AND TOXICITY STUDIES

CMC-544 was evaluated for its binding to CD22 and also for its activity in *in vitro* and *in vivo* models. CMC-544 was also compared to CMA-676, an isotype-matched control conjugate of hP67.6 (IgG4) with AcBut linked calicheamicin, and to rituximab (Rituxan™), a chimeric IgG1 anti-CD 20 mAb, (IDEC Pharmaceuticals, San Diego, CA.), which is commercially available and was purchased from Medworld Pharmacy (Chestnut Ridge, NY). The following antibodies were used in the G5/44 binding domain studies: BU12 (Celltech, Slough, UK); BLCAM, HD239 (Santa Cruz Biotech, Santa Cruz, CA); RFB-4 (Ancell Corp, Bayport, MN); SHCL-1, Leu 14 (Becton Dickinson, Franklin Lakes, NJ); 4KB128 and To 15 (Dako Corp, Carpinteria, CA); M6/13 and M5/44 (Celltech, Slough, UK). Additional antibodies used in the blocking studies were SJ10 (Immunotech, Fullerton, CA) and M17.1.1, M19.1.1, M38.1.1 (Celltech, Slough, UK). Cell lines for the studies including Burkitt's lymphoma cell line Ramos (CRL-1923) and the Non-Hodgkin's lymphoma (NHL) cell line RL (CRL-2261) were all obtained from the American Type Culture Collection. The cell lines were determined to be mycoplasma free by a polymerase chain reaction mycoplasma detection assay (ATCC, Manassas, VA). The cell lines were

maintained as suspension cultures in RPMI medium plus 10% FBS, 10 mM HEPES, 1 mM sodium pyruvate, 0.2% glucose, Penicillin G sodium 100 U/ml, and streptomycin sulfate 100 µg/ml.

Whether or not G5/44 can inhibit the binding of murine mAbs of known specificity to CD22 was evaluated by BIAcore analysis using Fc-CD22 immobilized to a BIAcore CM5 chip. The surface plasmon resonance units (RU) obtained with and without prior saturation of the immobilized Fc-CD22 with G5/44 were compared. Biomolecular interaction analysis was performed using a BIACORE 2000. Antibodies were passed over a blank control surface (flowcell 1, serves as a control, no protein was coupled) and the test surface of Fc-CD22 (flowcell 2) immobilized on a CM5 sensor chip via amine coupling chemistry to a level of 9,042 RU. The resultant sensorgram was the response (RU) on flowcell 2 minus the response (RU) on flowcell 1. A second sensorgram was obtained by first saturating the flowcells with G5/44 (100 µg/ml) before the introduction of murine mAbs against CD22 that had been previously characterized for their binding. Immediately upon measuring the G5/44 response, murine anti-CD22 mAbs were individually perfused without removing G5/44. The second combined response generated due to the binding of murine anti-CD22 mAb to G5/44-coated CD22 was also recorded. If the murine antibody bound to CD22 at sites unrelated to those occupied by G5/44, then the combined responses would be additive. If the binding of G5/44 to CD22 interfered with or prevented the binding of the second antibody, then the combined responses would not be additive. Each of the second combined measurements were corrected for the "off-rate" of the G5/44:CD22 interaction.

G5/44 blocked the binding of only those antibodies that bound to epitope A / Ig-like domain 1 of CD22 (SHCL1 Leu 14 and HD239), indicating that G5/44 also binds in this domain of CD22. Antibodies that bind to epitope B / Ig-like domain 3 of CD22 (RFB-4), epitope C / Ig-like domain 4 of CD22 (To 15) and Ig-like domain 2 of CD22 (4KB128), were not blocked by G5/44. These results indicate that G5/44-binding site on CD22 is located on the first Ig-like domain because it prevents the binding of those anti-CD22 mAbs that recognize the first Ig-like domain of CD22 (epitope A). Another anti-CD22 antibody, M6/13 (Celltech, Slough, UK), of unknown subspecificity was also blocked by G5/44 (Celltech, Slough, UK), thus mapping the binding site of M6/13 to epitope A / Ig-like domain 1 of CD22. The antibody M5/44,

the murine parent of G5/44 that has the same specificity as G5/44, inhibits the binding of G5/44, and serves as a positive control. Anti-CD19 antibody BU12 serves as a negative control in these evaluations. The results are summarized in Table 7.

5                    **TABLE 7: BINDING OF MURINE ANTI-CD22 M/AB WITH DEFINED SPECIFICITIES TO G544-PRETREATED FC-CD22. BINDING RESPONSE EXPRESSED AS SURFACE PLASMON RESONANCE UNITES (RU)**

Antibody	Epitope Ig Domain of CD22	Response 1 with G544	Response 2 after 2 <sup>nd</sup> Anti-CD22 mAb	Response 3 (Response 2-1)	Response 3 adjusted for "OFF" rate of G544	Binding of 2 <sup>nd</sup> mAb without G544 adjusted for background	Inhibition by G544 (%)
Anti CD22, SHCL-1 Leu 14	A 1,2	654.3	579.8	-74.5	9	29.3	69
Anti CD22 HD239	A 1	710.5	628.7	-81.8	1.7	19.3	91
Anti CD22 M 6/13	? ?	710.0	652.7	-57.3	26.2	152.4	83
Anti CD22 RFB-4	B 3	703.5	1108.5	405	488.5	534	9
Anti CD22 4KB128	? 2	691.0	1343.5	652.5	736.0	738.8	0
Anti CD22 To 15	C 4	676.9	1163.6	486.7	570.2	614.6	7
Anti CD22 M 5/44	Positive control	725.1	679.3	-45.8	37.7	613.9	94
Anti CD19 BU12	Negative control	686.2	602.7	-83.5	0	0	0

10                    Using murine mAbs of known binding specificities for individual domains to CD22, the ability of G5/44 to block the binding of these antibodies to B cells was investigated. Additionally, the ability of the mAbs to block the binding of G5/44 to B cells was also investigated. In these studies,  $1 \times 10^5$  Ramos cells were first exposed to murine anti-CD22 antibody (10 µg/ml humanized G5/44 or mouse monoclonal anti-



CD22) for 1 hour at 4° C prior to the exposure of the cells to G5/44 (10 µg/ml). Cells were incubated for an additional 1 hour at 4°C. After the antibody treatments, B cells were pelleted and washed with PBS-1% BSA and the appropriate secondary antibody was added (either FITC-goat anti-human (heavy and light chain) or FITC-goat anti-mouse (heavy and light chain)) at 100 µl of a 1:100 dilution in PBS-1% BSA for 30 minutes at 4° C. Cells were again pelleted, washed, and resuspended in PBS-1% BSA and added to a tube containing 250 µl of PBS-1% formaldehyde. Fluorescence intensity associated with cells was measured by flow cytometry using BD FACSort flow cytometer.

10           The results showed that prior exposure of G5/44 to CD22+ B cells resulted in significant inhibition of the subsequent binding of anti-CD22 mAbs M5/44 and M6/13. In contrast, the binding of anti-CD22 mAbs RFB4, To15, HD239, and 4KB to B cells was not inhibited by G5/44. The lack of significant inhibition of HD239 binding to B cells by G5/44 as detected by flow cytometry was unexpected, especially since the BIAcore analysis indicated that G5/44 can block the binding of HD239 to CD22. The lack of strong inhibition of HD239 binding by G5/44 may be explained based on the differences in their relative affinities for CD22. When the above murine anti-CD22 mAbs were examined for their ability to inhibit the binding of G5/44 to CD22+ B cells, SHCL1 and M6/13, but the not other anti-CD22 mAbs, inhibited the binding of G5/44.

15           The binding epitopes of HD239 and SHCL1 have been mapped to the first Ig-like domain of CD22. However, the epitopes recognized by M6/13 or M5/44 have not been mapped. The blocking studies detailed above indicate that the above antibodies recognize epitopes located on the first Ig-like domain of CD22, collectively known as epitope A.

25           Twenty thousand Ramos cells were incubated with various doses of CMC-544 with and without rituximab (Rituxan™) for 96 hours. After 96 hours, cell viability was measured by propidium iodide exclusion analyzed by flow cytometry. The mean viability of 3 to 6 wells was calculated and the dose response inhibition of cell viability was calculated for the various treatments. The background response inhibition of cell viability was calculated from a zero concentration of CMC-544. Logistic regression was used to test whether CMC-544 caused a statistically significant dose-dependent inhibition of Ramos cell growth over the dose range of 0.01 to 3 ng calicheamicin DMH/ml. Logistic regression was also used to determine whether the interaction of

30

CMC-544 with rituximab (Rituxan™) was statistically significant. Median inhibitory concentrations ( $IC_{50}$ ) were also computed and the effectiveness of each treatment relative to the treatment with CMC-544 alone was recorded. The statistical analysis was conducted using the PROBIT procedure in SAS version 8.2.

5           The results of the study showed that CMC-544 caused a dose-dependent inhibition of Ramos cell growth over the dose range of 0.01 to 3 ng calicheamicin DMH/ml. The median inhibitory concentration ( $IC_{50}$ ) of CMC-544 alone was 0.029 ng/ml. The concentrations of 2, 20, and 200  $\mu$ g/ml of rituximab (Rituxan™) were added to CMC-544 treated cells to determine whether the interaction of rituximab  
10 (Rituxan™) with the cytotoxicity activity of CMC-544 is statistically significant. Rituximab (Rituxan™), added at 20 and 200  $\mu$ g/ml without CMC-544, had no significant effect on cell growth by itself (111.7% and 94.0% of vehicle growth, respectively). In combination with CMC-544, all three concentrations of (Rituxan™) produced statistically significant ( $p < 0.05$ ) shifts to the left in the slope and intercept  
15 of the CMC-544 dose-response curve. The combination with 2 and 200  $\mu$ g/ml of rituximab produced the largest shifts in the dose-response curves. These 2 curves were not statistically different from each other but were significantly different ( $p < 0.05$ ) from the 20  $\mu$ g/ml dose combination. A second study (results not reported) confirmed the results observed in the first study. The median inhibitory concentrations for the  
20 combinations of 2, 20, and 200  $\mu$ g/ml of rituximab (Rituxan™) plus CMC-544 are 0.0072, 0.0081, and 0.0072 ng/ml, respectively. The median inhibitory concentrations of CMC-544 plus rituximab (Rituxan™) are approximately four-fold more potent than the  $IC_{50}$  of CMC-544 alone.

## 25   II   IN VIVO ANTI-TUMOR ACTIVITY SUBCUTANEOUS XENOGRAFTS AND SYSTEMATICALLY DISSEMINATED B-CELL LYMPHOMAS IN SCID MICE

Female, athymic nude mice, 18-22 g, were given total body irradiation (400 rads). Irradiation further suppressed the immune system of the mice to enhance tumor take. Three days after irradiation, mice were injected subcutaneously with 107  
30 RL cells in Matrigel (Collaborative Biomedical Products, Belford, MA, diluted 1:1 in RPMI medium) in the dorsal, right flank. When the tumors reached the appropriate size, (0.3g, typically 21 days later), CMC-544, rituximab (Rituxan™) or CHOP therapy (see below) was administered in sterile saline, 0.2 ml/mouse ip. The initial

day of drug administration was considered day 1. Two additional doses were given on days 5 and 9 (treatment = q4Dx3). CHOP therapy consisted of cyclophosphamide (C), (Cytosan™, Bristol-Meyers Squibb Co., Princeton, NJ) 40 mg/kg ip; doxorubicin HCl (H), (Sigma-Aldrich, Co., St. Louis, MO) 3.3 mg/kg ip; vincristine (O), (GensiaSicor Pharmaceuticals, Irvine, CA) 0.5 mg/kg ip; and prednisone (P), (Roxane Labs., Columbia, OH) 0.2 mg/kg po. CHO was administered according to the same dosing schedule as both CMC-544 and rituximab (Rituxan™) (q4Dx3) while prednisone was administered orally every other day for 5 doses (q2Dx5). Tumors were measured at least once a week and calculated as tumor mass (g) = 0.5 (tumor width/2)(tumor length). Group means, SEM were calculated and compared to the vehicle-treated group for statistical significance using multiple T-tests. Group means were recorded up to 50 days or until either a mouse died (which disrupted the group mean) or the tumor grew too large (>3.5g) and the mouse had to be euthanized. After this time, tumor mass was reported only for each individual mouse in all treatment groups. The number of tumor free mice at the end of each study for each treatment group was also recorded.

To determine the effect of CMC-544 alone or in combination with other bioactive agents on disseminated lymphomas, the SCID mouse model was used. Male, SCID mice (CB17 SCID), 20-25g, were injected with 106 Ramos cells through the tail vein (0.2 ml). Either 3 or 9 days after cell injection, the mice were administered vehicle, conjugates (CMC-544 or CMC-676), or rituximab (Rituxan™), ip, for a total of 3 doses. For the day 3 treatment schedule, mice were dosed on days 3, 7, and 11. For the day 9 treatment schedule, mice were dosed on days 9, 13 and 17. In the day 9 treatment schedule, combinations of CMC-544 and rituximab (Rituxan™) were also given as described below. Mice were monitored daily for the presence of hind limb paralysis at which time they were killed. Seven to 10 mice per treatment group were used. The group average survival time ( $\pm$ SD), median, minimum, and maximum survival times were all calculated. The difference in survival distribution between groups was determined by using a nonparametric Log-rank test with significance reported at the 0.05 level. The survival curves were constructed using the Kaplan-Meier method.

The initial study examined the effect of two different dosing schedules on survival times of the SCID mice with the disseminated lymphoma. The first study

looked at initiating drug dosing 3 days after the tumor cells were injected intravenously (developing model), while the second study delayed drug dosing until 9 days post tumor cell injection (established model). In each study, CMC-544 (160 µg/kg), CMA-676 (160 µg/kg), or rituximab (Rituxan™) (20 mg/kg) were administered 3 doses ip, 4 days apart (Q4Dx3). In the developing model, vehicle-treated mice had an average survival time of 27 days (Figure 23, Table 8). CMA-676, the isotype-matched control for CMC-544, did not increase survival time significantly ( $p>0.05$ ). CMC-544 significantly increased survival time to 41 days while rituximab had a profound effect, increasing survival time to > 125 days (significantly greater than CMC-544,  $p<0.05$ ). Delaying dosing until the tumor cells had an opportunity to circulate (homing) and deposit in the target tissues (established model) changed the results for CMC-544 and rituximab (Rituxan™). CMA-676 again had no significant effect on survival times (Figure 24, Table 8). Rituximab (Rituxan™) increased the average survival time to 62.6 days while CMC-544 improved the average survival time to 83.5 days. There was no significant difference between the effects of CMC-544 and rituximab (Rituxan™) in the established model.

TABLE 8: DESCRIPTIVE MEASURES OF SURVIVAL TIMES

Study	Compound	Average Survival Time	Median Survival time	Standard Deviation	Minimum Survival Time	Maximum Survival time	Number of Animals
Developing Model	CMA-676	32.9	34.0	3.9	28.0	36.0	7
	CMC-544	41.0	38.0	10.1	32.0	60.0	7
	Rituximab	128.4	>130.0	4.7	119.0	>130.0	7
	Vehicle	27.2	28.0	1.4	25.0	28.0	8
Established Model	CMA-676	33.7	31.0	4.6	30.0	42.0	7
	CMC-544	83.5	76.5	41.6	34.0	>130.0	8
	Rituximab	62.6	37.0	46.2	31.0	>130.0	7
	Vehicle	30.5	29.0	3.6	27.0	36.0	8

A preliminary study was conducted to determine if rituximab (Rituxan™) had any effect, either positive or negative, on the survival response of CMC-544. CMC-544 (160 µg/kg) was administered with and without rituximab (Rituxan™) (20 mg/kg, labeled the high dose drug combination (HD)). In addition, lower doses of CMC-544 (80 µg/kg) were co-administered with lower doses of rituximab (Rituxan™) (10 mg/kg). The compounds were not given separately at the respective 80 µg/kg or 10

mg/kg doses due to the limited number of mice in the study. This combination, CMC-544 (80 µg/kg) with rituximab (Rituxan™) (10 mg/kg), was labeled the medium dose combination (MD) and was run to determine the feasibility of lower dose combinations of drugs on SCID mouse survival. CMC-544 (160 µg/kg) and rituximab (Rituxan™) (20 mg/kg), administered alone, performed as reported in the established model above. Each prolonged average survival times to 58.5 and 50.5 days, respectively (Figure 25, Table 9). In combination, the average survival time was slightly (though not statistically significant,  $p>0.05$ ) improved to 64.4 days for the high-dose combination. The medium dose combination of 80 µg/kg CMC-544 and 10 mg/kg rituximab (Rituxan™) significantly improved ( $p<0.05$  vs vehicle-treated) survival time to an average of 92.4 days. These results suggested that lower dose combinations of CMC-544 and rituximab (Rituxan™) were warranted.

TABLE 9: DESCRIPTIVE MEASURES OF SURVIVAL TIMES FOR INITIAL COMBINATION STUDY

Compound	Average Survival time	Median Survival Time	Standard Deviation	Minimum Survival time	Maximum Survival Time	Number of Animals
CMC MD+Ritux MD	92.4	>100.0	16.0	62.0	>100.0	10
CMC HD+Ritux HD	64.4	58.5	26.7	29.0	>100.0	10
CMC-544	58.5	34.5	35.8	27.0	>100.0	10
Rituximab	50.5	41.0	26.4	30.0	>100.0	10
Vehicle	31.0	27.0	9.7	27.0	56.0	9

CMC MD = CMC544 medium dose, 80 µg/kg

CMC HD = CMC-544 high dose, 160 µg/kg

Ritux MD = Rituximab medium dose, 10 mg/kg

Ritux HD = Rituximab high dose, 20 mg/kg

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A further combination study with CMC-544 and rituximab (Rituxan™) was conducted. The following treatment groups were run: CMC-544 at 40, 80 and 160 µg/kg; rituximab (Rituxan™) at 5, 10, and 20 mg/kg; and CMC-544 at 40 µg/kg plus rituximab (Rituxan™) 5 mg/kg, CMC-544 at 80 µg/kg plus rituximab (Rituxan™) 10 mg/kg, and CMC-544 at 160 µg/kg plus rituximab (Rituxan™) 20 mg/kg. All doses of rituximab (Rituxan™) slightly improved average survival time to the range of 33 – 40 days, (all doses  $p<0.05$  compared with the vehicle-treated average survival time of

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25.8, Figure 26, Table 10). The CMC-544 high dose, 160 µg/kg, improved average survival time to 85 days, consistent with the results reported in the earlier two studies. Combining CMC-544 with rituximab (Rituxan™) made no significant improvement in the survival times (Figure 27, Table 10). The two lower doses of  
 5 CMC-544 (80 and 40 µg/kg) each significantly improved ( $p < 0.05$ ) average survival times above that of the high dose CMC-544. For the 40 and 80 µg/kg doses of CMC-544, 90% and 80% of the mice, respectively, were still surviving at 125 days. Both drug combination groups had 100% of the mice survive until day 125. Lower doses of CMC-544 are more efficacious than the high dose of 160 µg/kg.

10 Rituximab (Rituxan™), in combination with CMC-544, had no obvious effect on CMC-544's activity in the disseminated B-cell model in SCID mice at the doses tested (see above). Whether CMC-544, co-administered with rituximab (Rituxan™), resulted in either enhancement or inhibition of anti-tumor activity was also evaluated using the subcutaneous RL B lymphoma xenograft model in Balb/c nude mice. In the  
 15 subcutaneous B lymphoma model, tumors were staged to an average tumor mass of 300 mg after which the two therapeutics under study were administered IP. CMC-544 was used at 20 or 80 µg/kg Q4Dx3 with or without rituximab (Rituxan™) (20 mg/kg Q4Dx3). The co-administration of rituximab (Rituxan™) neither enhanced nor inhibited significantly ( $p > 0.05$ ) the therapeutic efficacy of CMC-544 (Figure 28).  
 20 Rituximab (Rituxan™), administered alone, inhibited RL B lymphoma growth (57% inhibition of tumor growth at day 20,  $p < 0.05$  vs vehicle-treated) in this study, similar to that observed with the lower dosage of CMC-544.

The combination chemotherapeutic regimen CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone) is the most commonly used treatment  
 25 modality for non-Hodgkin lymphoma patients. The anti-tumor effect of CHOP was compared with that of CMC-544 in established RL B lymphoma xenografts. Individual components of the CHOP regimen were used at their respective maximum tolerated doses assessed in nude mice (data not reported) and were as follows: Cyclophosphamide (C) 40 mg/kg IP, doxorubicin (H) 3.3 mg/kg IP, vincristine (O) 0.5  
 30 mg/kg IP, and prednisone (P) 0.2 mg/kg PO. CHO were administered Q4Dx3 and P was administered PO, Q2Dx5. CMC-544 was administered IP, Q4Dx3 at a dosage of 160 µg/kg calicheamicin equivalents. The CHOP treatment initially caused a significant inhibition of the RL B lymphoma growth (Figure 29). However, 3 weeks

later, tumors re-grew with similar growth rates as the vehicle-treated group. In contrast, the antitumor effect of CMC-544 was complete and lasted throughout the experimental period. These results suggest that CMC-544, at a dose significantly lower than the maximum nonlethal dose in nude mice, was more efficacious than the  
5 CHOP combination therapy.

These studies showed that rituximab (Rituxan™), added to CMC-544 caused a significant increase in CMC-544's cytotoxic activity observed with Ramos B lymphoma cells. A synergistic interaction in Ramos cells for rituximab (Rituxan™) and glucocorticoids was also recently reported. Additionally, a synergistic growth  
10 inhibition in 4 of 8 additional cell lines was observed with rituximab (Rituxan™) when given in combination with 10 µM dexamethasone.

Rituximab (Rituxan™) by itself, 0.4 to 10 µg/ml, was reported to cause a significant, though small (18% maximum) inhibition of Ramos cell growth. Additionally, it was active in 6 of 8 B-cell non-Hodgkin lymphoma cell lines when  
15 incubated at 10 µg/ml (48 h incubation). Ghetie *et al*, showed that rituximab (Rituxan™), 10 µg/ml, caused a 6.2% increase in apoptosis (versus 3.5% in vehicle-treated cells) after 24 hours incubation with Ramos cells. In the current studies, rituximab (Rituxan™), at doses 20 and 200 µg/ml had no effect on Ramos cell growth when administered alone. In mice, there was no evidence of any interaction between  
20 CMC-544 and rituximab (Rituxan™) in either the disseminated model or the subcutaneous xenograft model. The drug combinations tested did not interfere with each other's effects nor enhance them. Whether reducing the doses of each drug in the disseminated model will change this observation needs to be determined.

The disseminated B-cell lymphoma model with Ramos cells has been  
25 described by Flavell *et al*. Median survival times for vehicle-treated mice were reported to be 34-36 days. Mice developed hind-limb paralysis and progressed to becoming moribund, dying soon after. Histological analysis of the organs revealed that the most commonly involved organs were the adrenal gland, spleen and sub-arachnoid space. The sub-arachnoid space infiltrate frequently extended into the  
30 brain. Rituximab (Rituxan™) performed well when administered in the early phase of the disease process for the disseminated SCID mice (Figure 23), but was less impressive when administered at day 9 in the established phase of the model (Figure 24). Rituximab (Rituxan™), being of the IgG1 isotype, most likely works through the

mouse host effector mechanisms. These mechanisms include complement-mediated cytotoxicity and/ or antibody dependent cellular cytotoxicity through recruitment of natural killer cells that are present in SCID mice. The injected Ramos tumor cells are probably more susceptible early on to the host immune mechanisms that are  
5 activated by rituximab (Rituxan™), before the cells have an opportunity to infiltrate into the affected organs. The unconjugated G5/44 antibody (the targeting molecule in CMC-544) had not yet been tested in the disseminated tumor model in SCID mice, but it had no effect when administered in subcutaneous xenografts. G5/44, being of the IgG4 isotype, would not be expected to activate the host effector mechanisms  
10 and, therefore, would not produce anti-tumor activity.

Calicheamicin conjugated G5/44 (CMC-544) behaved in the opposite fashion than rituximab (Rituxan™), producing better results when administered in the established phase of the disease. The reason for CMC-544 performing better in the established phase is not clear, but the established phase more closely represents the  
15 clinical situation. CMA-676, the isotype matched, nonbonding control conjugate, did not have any significant effects on the average survival times. The results in the disseminated SCID model clearly suggest that the doses of CMC-544 need to be reduced to determine the maximum efficacious dose (MED). The 160 µg/kg dose was less active than the lower doses of 80 and 40 µg/kg. It is not clear why this is so  
20 but the 160 µg/kg dose may be well over the MED. Further studies are planned to address this issue.

Mohammad et al., used CHOP therapy (Cyclophosphamide (C) 40 mg/kg IV, doxorubicin (H) 3.3 mg/kg IV, vincristine (O) 0.5 mg/kg IV, and prednisone (P) 0.2 mg/kg PO) in a model of subcutaneous xenografts with a diffuse large cell lymphoma  
25 cell line, DLCL. The doses used for the CHOP therapy were determined to be their maximum tolerated dose. Therapy, CHO given once IV and P, given daily for 5 days, was rated 'active', producing a T/C of 25.8%. No tumor cures were recorded. The results in the model described by Mohammad et al., appear similar to those observed with CHOP therapy (administered IP, Q4Dx3) in the RL model described herein. In  
30 neither study did CHOP produce long-term cures, unlike CMC-544.



TABLE 10: DESCRIPTIVE MEASURES OF SURVIVAL TIME FOR COMBINATION STUDIES

Treatment	Average Survival Time	Median Survival Time	Standard Deviation	Minimum Survival Time	Maximum Survival Time	Number of Animals
CMC-544 40 $\mu\text{g/kg}$	118.90	125.00	19.29	64.00	125.00	10
CMC LD + Ritux LD	125.00	125.00	0.00	125.00	125.00	10
CMC-544 80 $\mu\text{g/kg}$	118.22	125.00	17.86	71.00	125.00	9
CMC MD + Ritux MD	125.00	125.00	0.00	125.00	125.00	10
CMC-544 160 $\mu\text{g/kg}$	85.22	82.00	40.37	35.00	125.00	9
CMC HD + Ritux HD	91.30	100.00	36.31	44.00	125.00	10
Rituximab 5 $\text{mg/kg}$	40.70	36.50	9.57	34.00	64.00	10
Rituximab 10 $\text{mg/kg}$	33.80	34.00	3.26	29.00	41.00	10
Rituximab 20 $\text{mg/kg}$	40.50	34.00	15.45	31.00	82.00	10
Vehicle	25.80	25.00	3.12	22.00	34.00	10

CMC LD = CMC-544 low dose, 40  $\mu\text{g/kg}$ Ritux LD = Rituximab low dose, 5  $\text{mg/kg}$ CMC MD = CMC-544 medium dose, 80  $\mu\text{g/kg}$ Ritux MD = Rituximab medium dose, 10  $\text{mg/kg}$ CMC HD = CMC-544 high dose, 160  $\mu\text{g/kg}$ Ritux HD = Rituximab high dose, 20  $\text{mg/kg}$ 

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## EXAMPLE 9

## STABLE FORMULATIONS OF CMC-544

Stable formulations of CMC-544 for *in vivo* administration were prepared by adding diluents, excipients, carriers and stabilizers. Following HIC chromatography, the chromatographic fractions are assayed by SEC-HPLC and multiwavelength UV analysis. Appropriate fractions were selected for pooling on the basis of the above analysis, which provided information on aggregate content, protein concentration, and calicheamicin loading. Excipients, stabilizers, bulking agents and buffering agents were added to stabilize the solution. Since CMC-544 can undergo degradation via a number of degradation pathways, physical instabilities need to be considered in the development of formulations. One of the main considerations in the development of formulations is that the rate of hydrolysis of calicheamicin from

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the antibody must be minimized while the physical and chemical integrity of the anti-CD-22 antibody must be maintained. In addition, precipitation of the calicheamicin-antibody conjugate, which can occur under certain pH and concentration conditions, needs to be minimized.

5           In developing a formulation of a monomeric calicheamicin derivative-antibody conjugate, the pH of the formulation is critical, as this minimizes degradation and physical instability. A pH of 8.0 was selected to minimize hydrolysis of calicheamicin and maintain adequate solubility of the conjugate. Additional data, obtained using SDS-PAGE and antigen binding ELISA, indicated that the significant structural  
10 integrity and specificity of the antibody are maintained at a pH of 8.0. Consequently, tromethamine was chosen as a buffering agent to maintain a pH of 8.0. An alternative buffer could include dibasic sodium or potassium phosphate. The range of buffer concentration can be 5 to 50 mM. A preferred pH range of 7.5 to 8.5 is suggested for optimum stability/solubility. The current pH specification for the finished  
15 product is 7.0-9.0.

          Although the stability of the buffered conjugate solutions is adequate for the short time, long-term stability is poor. Lyophilization is used to improve the shelf life of the conjugates. The problems associated with lyophilization of a protein solution are well documented, and the loss of secondary, tertiary and quaternary structure  
20 can occur during freezing and drying processes. Sucrose is included in the formulation to act as an amorphous stabilizer of the conjugate and maintain the structural integrity of the antibody during freezing and drying. Concentrations of 1.5-5.0% w/v sucrose have been used. In addition, a polymeric bulking agent, such as Dextran 40 or hydroxyethyl starch can be incorporated to enhance the appearance  
25 and physical rigidity of the lyophilized cakes at a concentration of 0.5-1.5% by weight. These materials form lyophilized cakes at relatively low concentrations and can be used to minimize the overall solids content of the lyophilized formula, thus permitting more rapid freeze drying. Formulation studies have used a Dextran 40 concentration of 0.9% by weight.

30           Polysorbate 80 is included in the formulation to enhance the solubility of the conjugate. A preferred concentration of 0.01% is used with a potential range of 0.005-0.05%. Tween is also added to the formulation at a concentration of 0.91-0.05% by volume.

An electrolyte may also be present in the formula and may be used to improve the efficiency of the final purification process. Sodium chloride is typically used at a concentration of 0.01M to 0.1 M. Additional electrolytes such as sodium sulfate may also be used as a replacement for sodium chloride since it may be more easily lyophilized. Optimally, the final CMC-544 solution comprises 1.5% sucrose (by weight), 0.9% Dextran 40 (by weight), 0.01% tween 80, 50 mM sodium chloride, 0.01% polysorbate 80 (by weight) and 20 mM tromethamine.

A representative formula for the solution prior to lyophilization is presented below: CMC-544 0.5 mg/mL, sucrose 1.5% by weight, Dextran 40 0.9% by weight, sodium chloride 0.05 M, tween 0.01-0.05% by volume, polysorbate 80 0.01% by weight, tromethamine 0.02 M, pH 8.0, and water. The solution is dispensed into amber vials at a temperature of +5°C to 10°C, (optimally at +5°C); the solution is frozen at a freezing temperature of -35°C to -50°C, (optimally at -45°C); the frozen solution is subjected to an initial freeze drying step at a primary drying pressure of 20 to 80 microns, (optimally at 60 microns); the freeze-dried product is held at a shelf temperature at -10 °C to -40°C, (optimally at -30°C), for 24 to 72 hours, (optimally for 60 hours); and finally the freeze-dried product is subjected to a secondary drying step at a drying pressure of 20-80 microns, (optimally at 60 microns) at a shelf temperature of +10°C to +35°C, (optimally +25°C), for 15 to 30 hours (optimally for 24 hours). A pressure rise test is used to determine the end of primary drying. At the conclusion of the lyophilization cycle, the vials are back-filled with nitrogen and stoppered.

Table 11 sets out the differences in the formulation used for CMC-544 and the formulation used for CMC-676. Significant differences between the CMA-676 formulation and the formulation used for CMC-544 include reduced protein concentration in the new formulation (0.5 mg/mL), the use of tromethamine as a buffer and the presence of 0.01% tween 80. This results in the reconstituted CMC-544 in the new formulation being clear as opposed to the turbidity seen in the reconstituted CMA-676 formulation (see Tables 12 and 13).

TABLE 11: COMPARISON OF THE CMA-676 FORMULATION AND CMC-544 FORMULATION FOR CMC-544

	CMA-676 Formulation	CMC-544 Formulation
Protein Concentration	1.0 mg/mL	0.5 mg/mL
Formulation	1.5% sucrose, 0.9% Dextran 40, 100 mM sodium chloride, 5 mM phosphate buffer	1.5% sucrose, 0.9% Dextran 40, 0.01% tween 80, .01% polysorbate 80, 50 mM sodium chloride, 20 mM tromethamine

5 TABLE12: STABILITY AND PHYSICO-CHEMICAL OBSERVATIONS OF THE CMA-676 AND CMC-544 FORMULATIONS FOR CMC-544 AT 5°C.

	CMA-676 Formulation		CMC-544 Formulation	
Time	Initial	2 weeks	Initial	2 weeks
Physical Observation	Slightly turbid	Slightly turbid	Clear	Clear
PH	7.5	7.5	7.8	7.8
Total Protein(mg/mL)	1.07	1.07	0.52	0.52
Total Calicheamicin (µg/mg of protein)	67	67	57	57
Unconjugated Calicheamicin (µg/mg of protein)	1.21	2.82	0.97	1.13
% Aggregates	3.03	2.81	1.59	1.70

TABLE 13: STABILITY AND PHYSICO-CHEMICAL OBSERVATIONS OF THE  
CMA-676 AND CMC-544 FORMULATION LYOPHILIZED AND STORED AT 25°C

Time	CMA-676 FORMULATION		CMC-544 Formulation	
	Initial	4 weeks	Initial	4 weeks
Physical Observation of Reconstituted Conjugates	Slightly turbid	Slightly turbid	Clear	Clear
PH	7.5	7.5	7.8	7.8
Total Protein (mg/mL)	1.03	1.03	0.51	0.51
Total Calicheamicin ( $\mu\text{g}/\text{mg}$ of protein)	67	67	57	57
Unconjugated Calicheamicin ( $\mu\text{g}/\text{mg}$ of protein)	1.13	1.03	1.03	0.94
% Aggregates	2.63	2.96	1.49	2.09

\* \* \* \* \*

- 5 All references and patents cited above are incorporated herein by reference. Numerous modifications and variations of the present inventions are included in the above-identified specification and are expected to be obvious to one of skill in the art. Such modifications and alterations to the conjugation process, the conjugates made by the process, and to the compositions/formulations comprising conjugates are
- 10 believed to be encompassed within the scope of the claims.

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